

# Dark matter, black holes, and gravitational waves



Gianfranco Bertone

GRAPPA center of excellence, U. of Amsterdam

*DIFA Colloquium, U. of Bologna, 4/5/2023*

**GRAPPA** x  
x  
x



GRavitation AstroParticle Physics Amsterdam



# Plan of the talk:

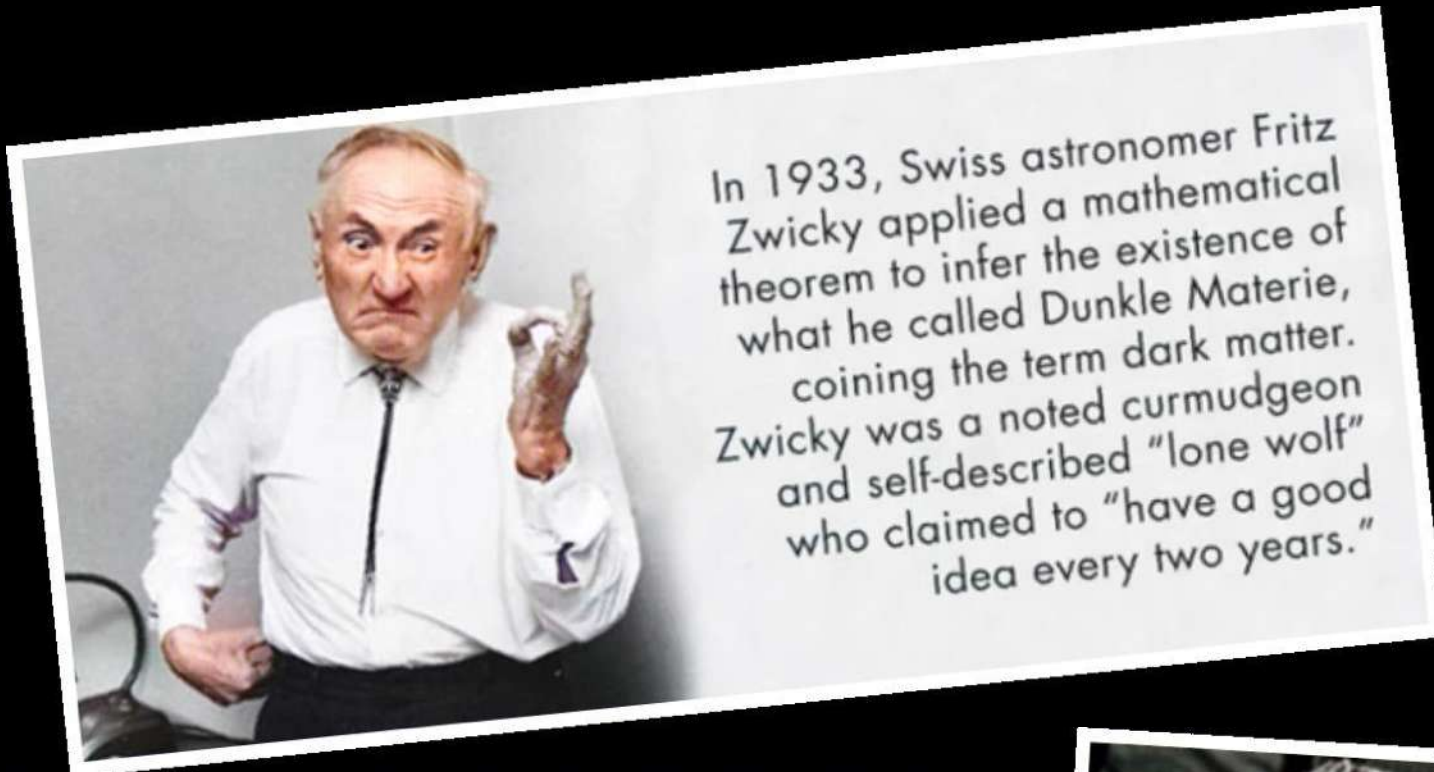
Prologue: the dark universe *narrative*

Part I: What have we learnt?

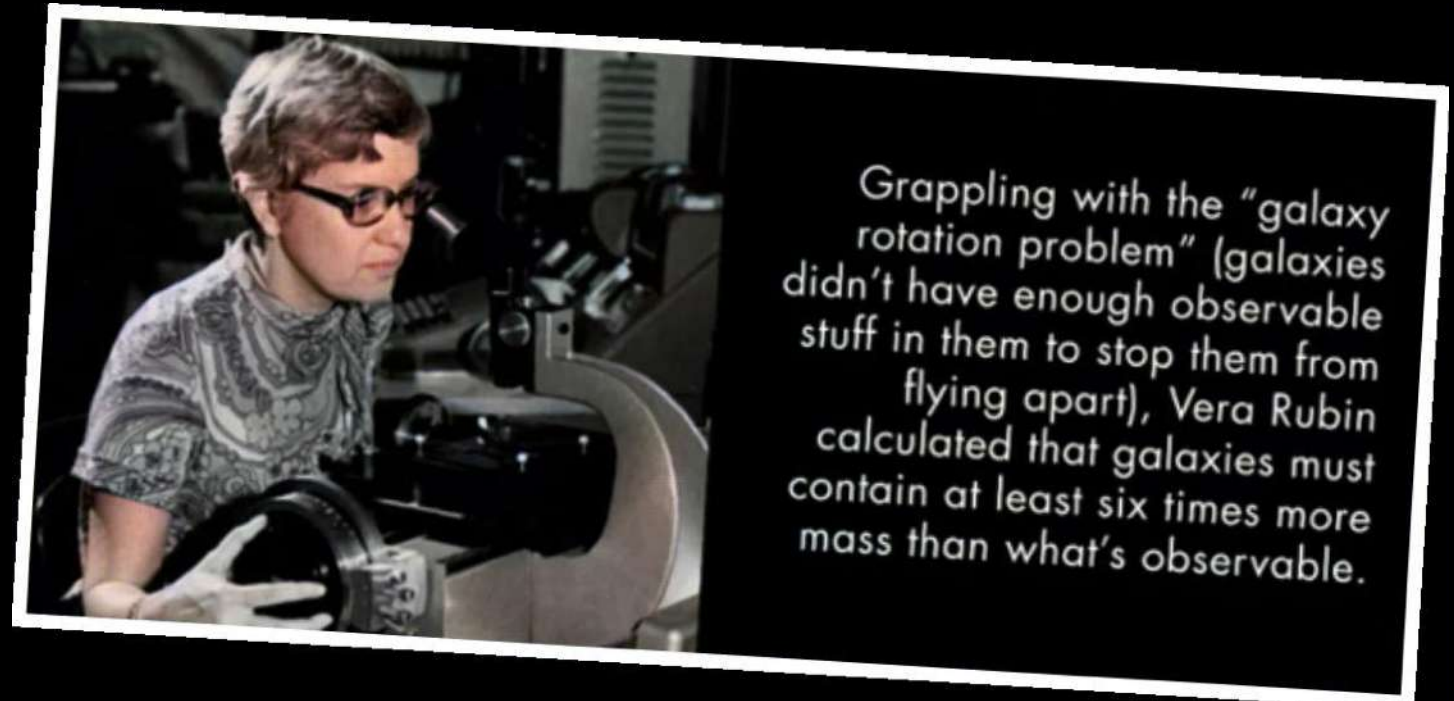
Part II: DM / BH / GWs



# Dark Matter “Mythology”



In 1933, Swiss astronomer Fritz Zwicky applied a mathematical theorem to infer the existence of what he called Dunkle Materie, coining the term dark matter. Zwicky was a noted curmudgeon and self-described “lone wolf” who claimed to “have a good idea every two years.”



Grappling with the “galaxy rotation problem” (galaxies didn’t have enough observable stuff in them to stop them from flying apart), Vera Rubin calculated that galaxies must contain at least six times more mass than what’s observable.

# Did Zwicky coin the term *dark matter*?

## How Far Do Cosmic Rays Travel?

Two entirely different suggestions have been advanced in the literature as to *where* the cosmic rays originate. The first suggestion is that cosmic rays are of local origin (upper earth atmosphere, our own planetary system, etc.). The other suggestion is that cosmic rays are produced or have been produced throughout the universe, or even more specifically, throughout interstellar or intergalactic spaces. This latter view has especially been advanced by R. A. Millikan.

The purpose of this paper is to examine these hypotheses somewhat more closely and to establish a relation between them and the red shift of extragalactic-nebulae.

Suppose that on the basis of the second suggestion mentioned above, the generation of cosmic rays is given as  $\epsilon$  erg/cm<sup>3</sup> sec., where  $\epsilon = \epsilon(r)$  is only a function of the distance  $r$  from the observer. Then the radiation intensity  $\sigma$  from a half sphere of radius  $R$  is given by

$$\sigma = \frac{1}{4} \int_0^R \epsilon(r) dr \text{ in ergs/cm}^2 \text{ sec.} \quad (1)$$

Provided that  $\epsilon(r) = \epsilon_0 = \text{constant}$ , this gives

$$\sigma = \epsilon_0 R/4. \quad (2)$$

We know, however, that, because of the red shift

$$\epsilon(r) = \epsilon_0(1 - r/D) \quad (3)$$

where  $D \sim 2000 \times 10^6$  light years. This gives

$$\sigma = (\epsilon_0 R/4)(1 - R/2D) \quad (4)$$

or if the red shift is proportional to  $r$  all the way up to  $r = D$  the total intensity from the universe

$$\sigma_t = \epsilon_0 D/8. \quad (5)$$

In these cases no light signal could ever reach us from distances  $r > D$ . In spite of an infinite number of luminous stars,  $\sigma_t$  would be finite and one of the old arguments for the necessity of a finite space would have to be discarded.

The difficulty which arises in relation to the suggestion that cosmic rays are created throughout intergalactic space now is this. According to the observational data the ratios of the intensity due to the galaxy  $\sigma_g$  and the intensity due to the rest of the universe  $\sigma_u$  are

$$a = \sigma_g/\sigma_u \gg 1 \text{ for visible light} \quad (6)$$

$$b = \sigma_g/\sigma_u \ll 1 \text{ for the cosmic rays.} \quad (7)$$

The ratio  $a/b$  is equal at the very least to a hundred. It is therefore impossible that the cosmic rays, if photons, come from luminous matter. Now according to the present estimates the average density of dark matter in our galaxy ( $\rho_g$ ) and throughout the rest of the universe ( $\rho_u$ ) are in the ratio

$$\rho_g/\rho_u > 100,000. \quad (8)$$

If we assume that the cosmic rays are produced at a rate proportional to the density, then it follows that the above ratio  $b$  for the cosmic rays according to (2) can only be explained if these rays are collected from all distances up to  $10^7 \times d$  light years where  $d > 10,000$  light years is the radius of our galaxy. This would correspond to a distance greater than  $10^{11}$  light years. Now if the red shift were linear with distance all the time, no cosmic-ray photon could reach us from distances greater than  $2 \times 10^9$  light years. The discrepancy becomes still worse, as Dr. Tolman kindly informs me, if the cosmic rays consist of any particles of matter such as electrons or neutrons.

The following suggestions might be advanced in order to remove the above discrepancy.

(1) The extragalactic red shift may increase less than proportional to the distance for very great distances. The corresponding Doppler velocity at great distances however must then relatively soon approach quite closely the velocity of light in order to prevent a too great amount of visible light reaching us from distant hot stars (O, B-stars, etc.). It is also to be remembered that the simple Einstein-de Sitter theory requires the red shift to increase faster than the distance.

(2) The ratio (8) may be much smaller than assumed above. Difficulties however may arise contradicting the so far observed emptiness of extragalactic space. It is also to be remembered that cosmic rays at any rate are probably more strongly absorbed by any kind of interstellar matter than visible light.

(3) The "chemical reaction" producing the cosmic rays may be of a negative order, that is, it might be *proportional to some inverse power of the density*. One might picture, for instance, a set of quantum states of space which according to the exclusion principle is entirely filled up at higher densities. Free states might exist at very low densities and facilitate processes which are not possible at higher pressures.

(4) Cosmic rays may have been produced at a time when the universe was in an entirely different state than it is

*How far do cosmic rays travel?* January 9, 1933



# No..

## How Far Do Cosmic Rays Travel?

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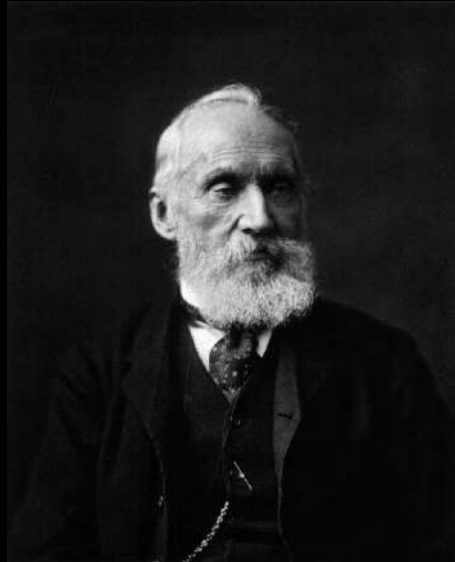
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January 9, 1933

# Dark matter: a problem with a long history..

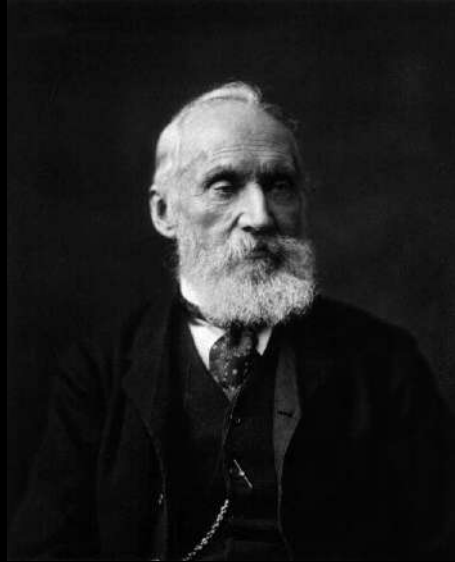


Lord Kelvin (1904)

*“Many of our stars, perhaps a great majority of them, may be dark bodies.”*

The term dark matter has been in use since early 1900s

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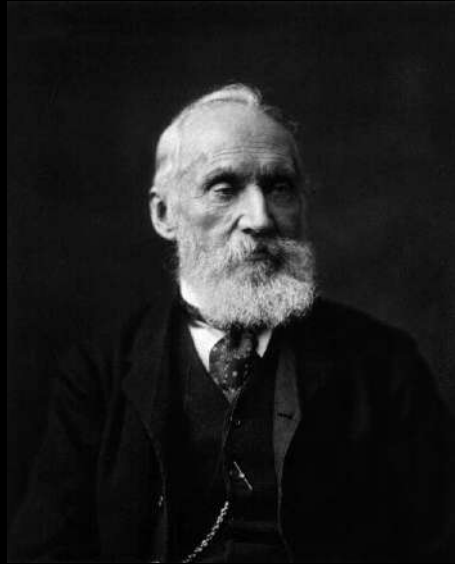


Henri Poincaré (1906)

*“Since [the total number of stars] is comparable to that which the telescope gives, then there is no **dark matter**, or at least not so much as there is of shining matter.”*

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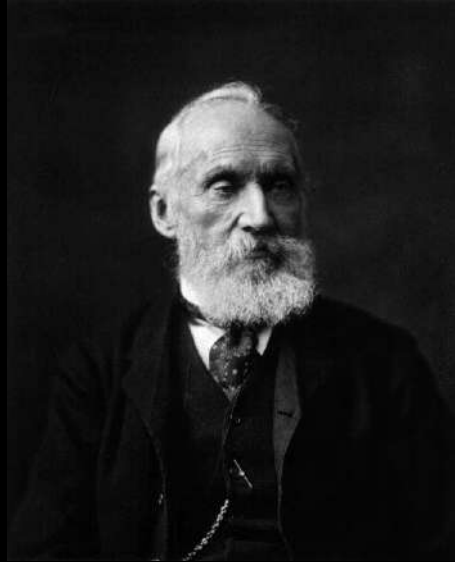
Albert Einstein (1921)

*Applies virial theorem to star cluster: “the non luminous masses contribute no higher order of magnitude to the total mass than the luminous masses”*

*Virial theorem had been applied to (stellar) clusters way before Zwicky...*



# Dark matter: a problem with a long history..



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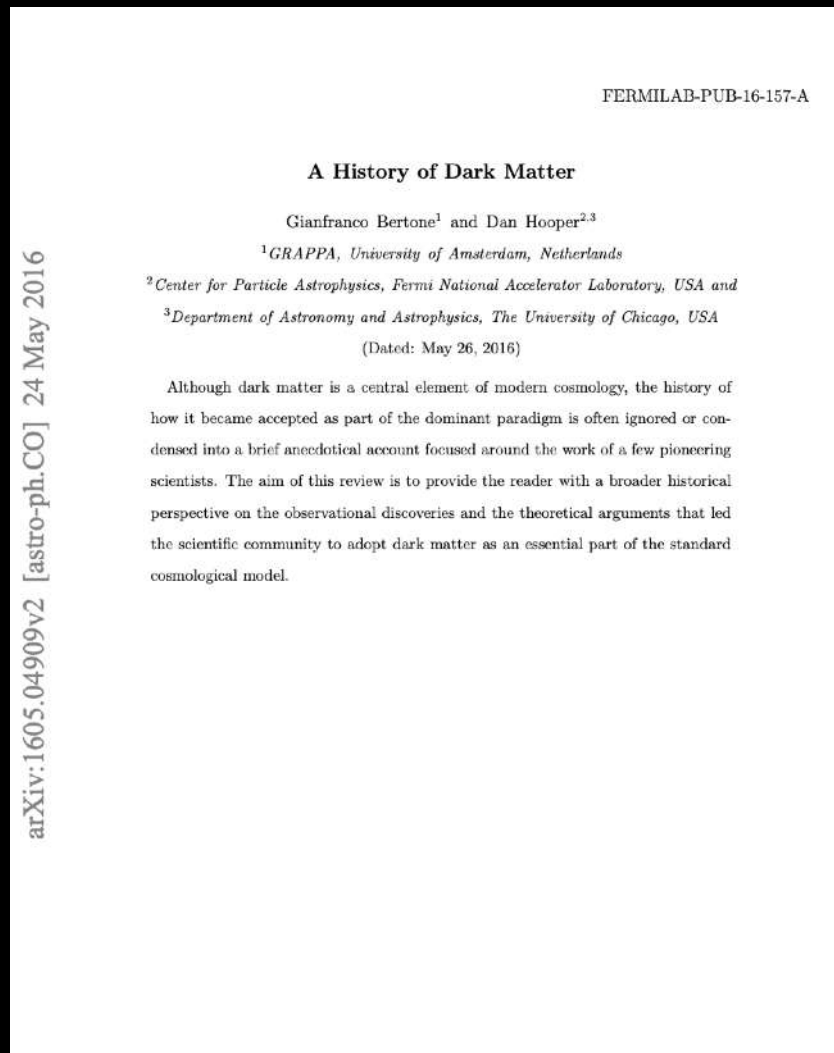


Fritz Zwicky (1933)

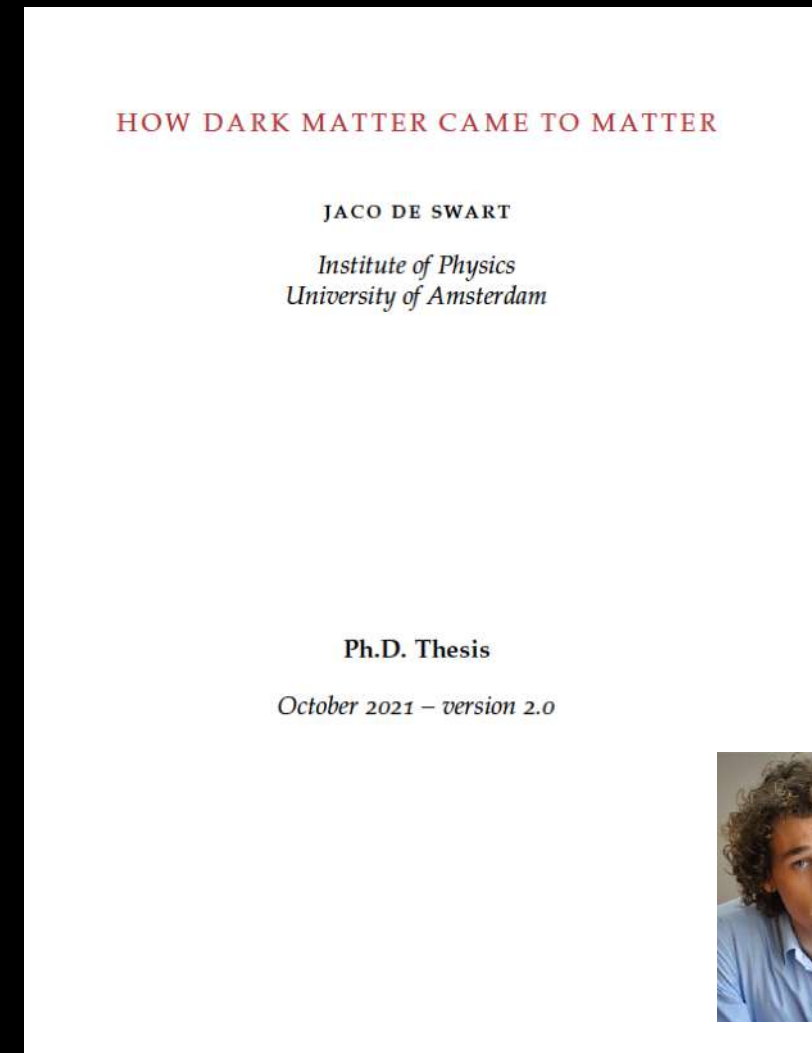
*“According to present estimates the average density of dark matter in our galaxy and throughout the rest of the universe are in the ratio  $10^5$ ”*

“Dark matter” used by Zwicky before his Coma cluster paper...

# Dark matter: a problem with a long history..



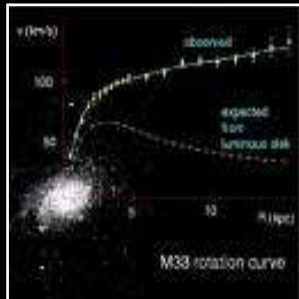
*“A history of Dark Matter”* GB & Hooper  
- RMP 1605.04909



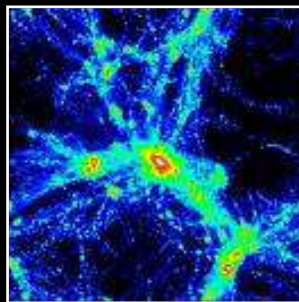
*“How dark matter came to matter”* de Swart, GB, van Dongen - Nature Astronomy;  
1703.00013

# What is the Universe made of?

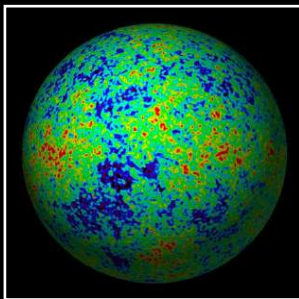
OBSERVATIONS



- Rotation Curves



- Clusters of galaxies

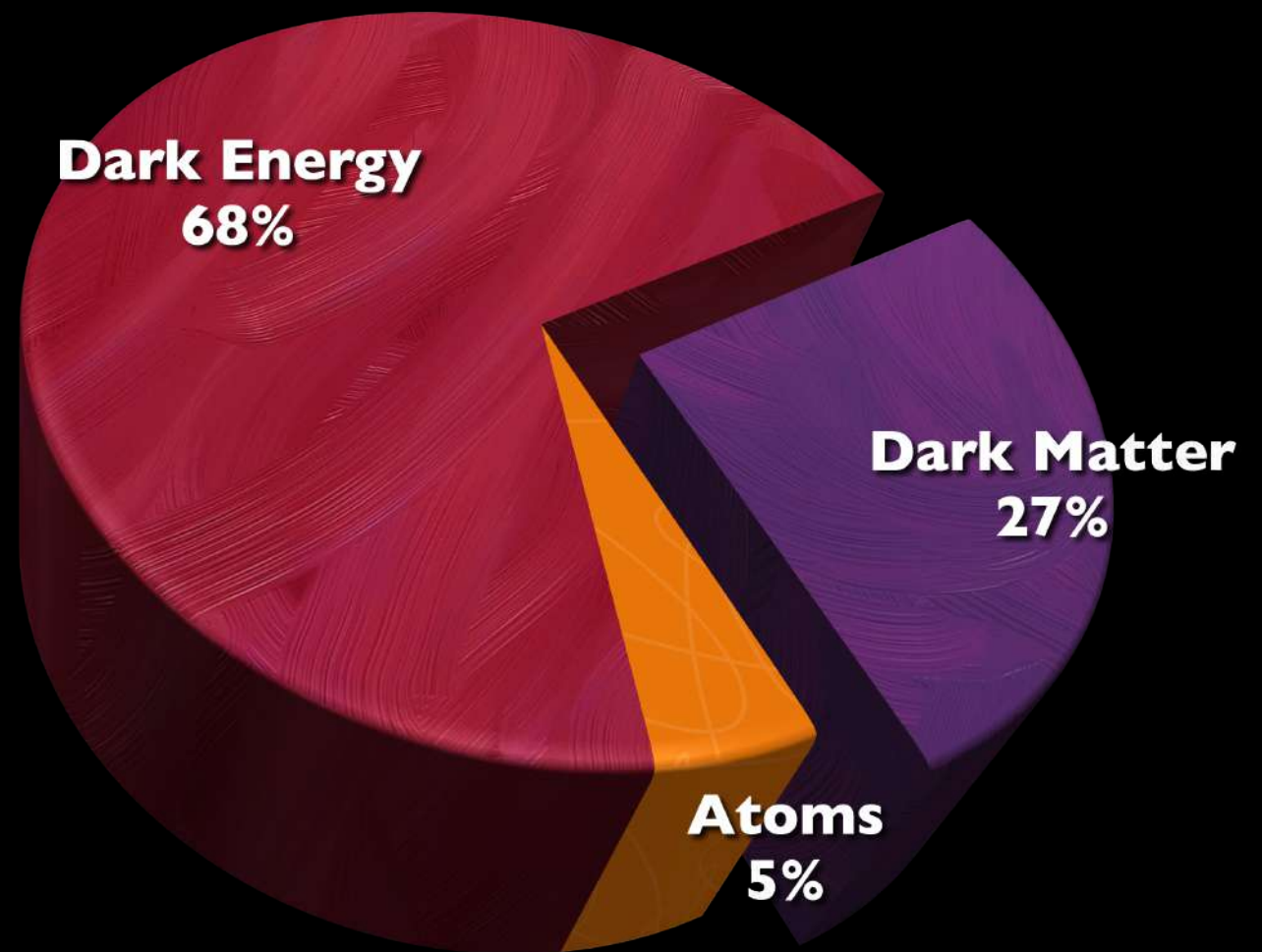


- CMB



- Type Ia Supernovae

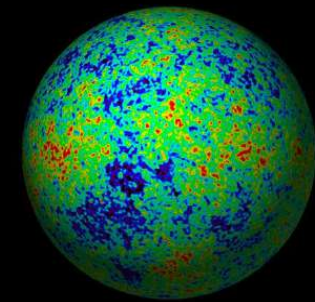
...



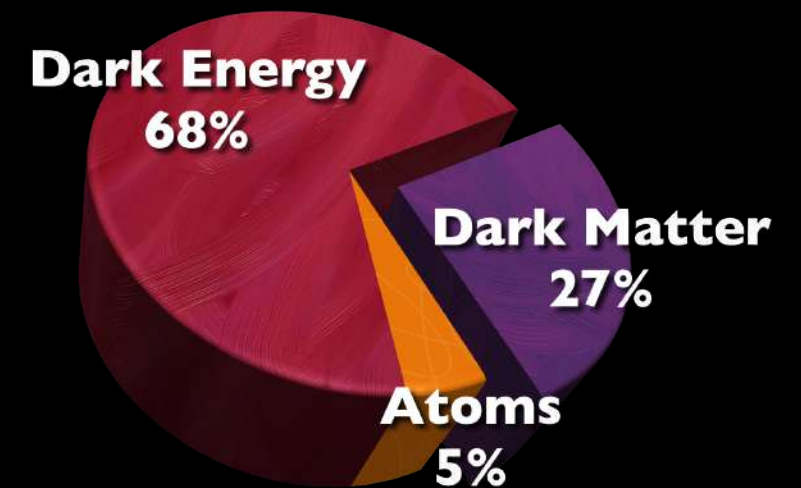
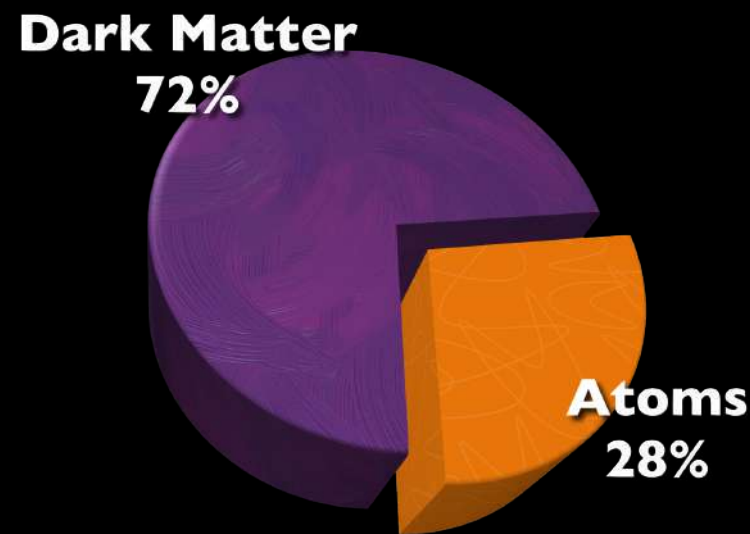
[statement valid now, and on very large scales]



# What is the Universe made of?

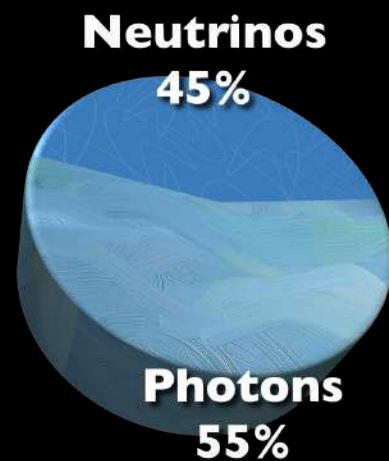


Posti & Helmi, A&A 621,A56 (2019)

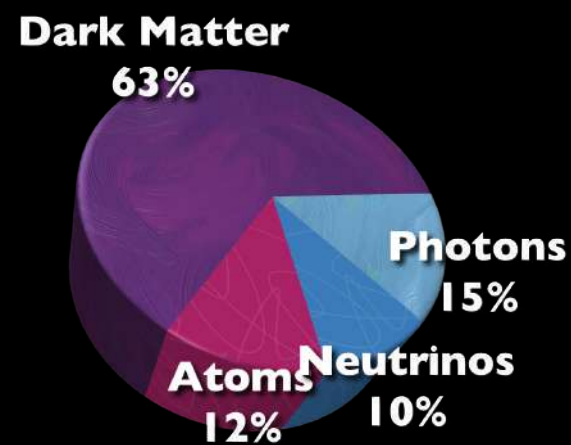


# What was the Universe made of?

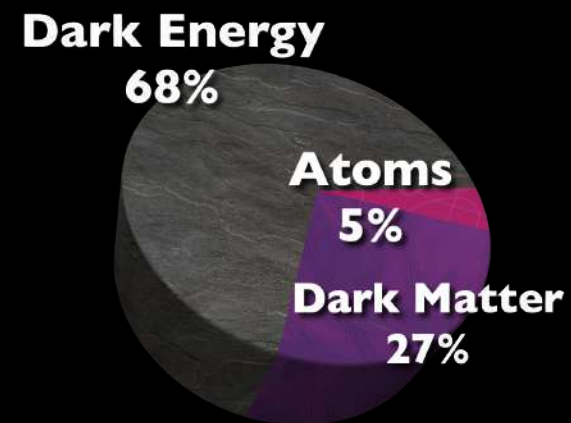
At BBN



At recombination



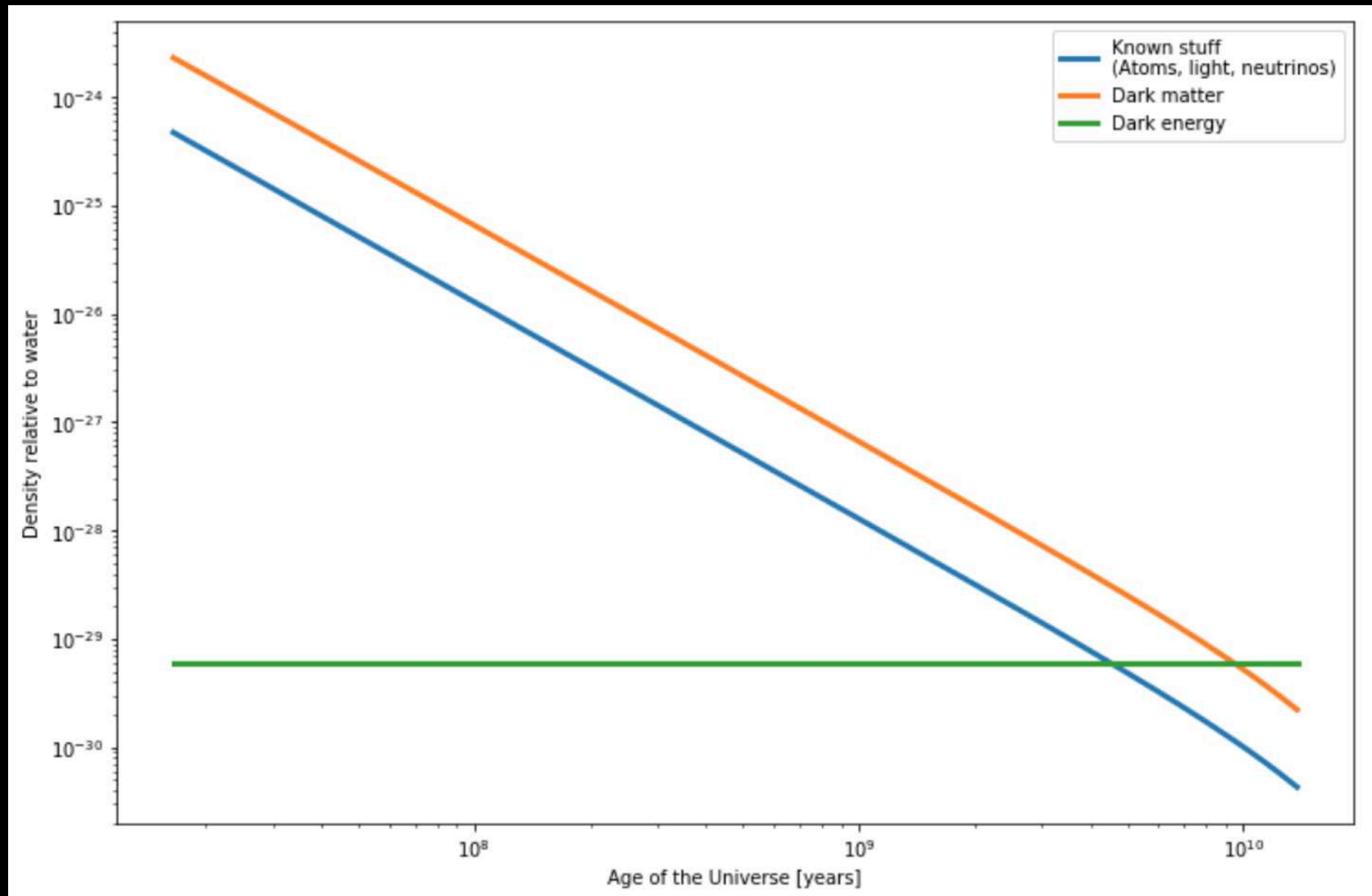
Today



...eventually



# Evolution of matter/energy density



Created with #astropy <https://astropy.org>, astropy.cosmology package <https://docs.astropy.org/en/stable/cosmology/>

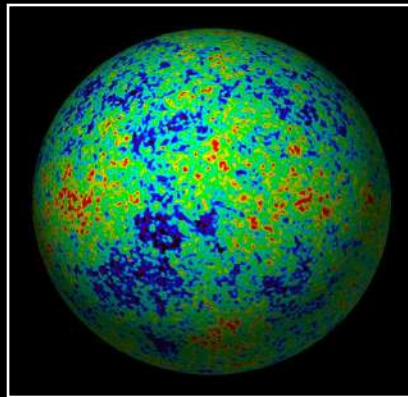


# Simulating Galaxy Formation

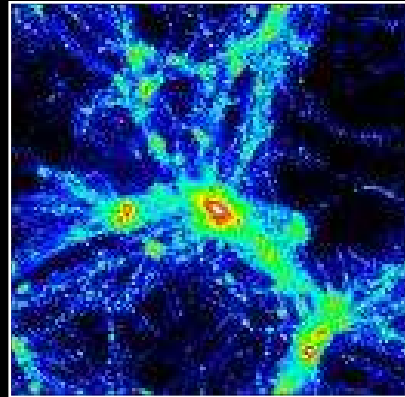
<http://www.illustris-project.org/media/>

# Can 'x' be the DM in the Universe?

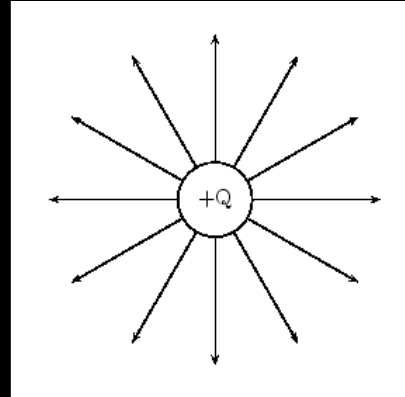
1) Abundance ok?



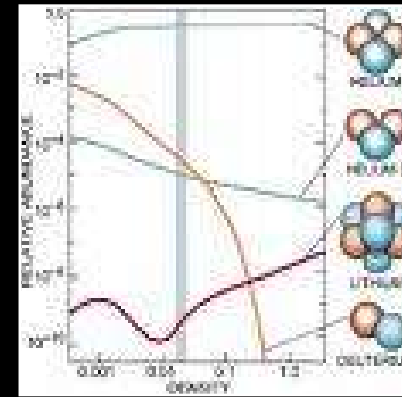
2) Cold?



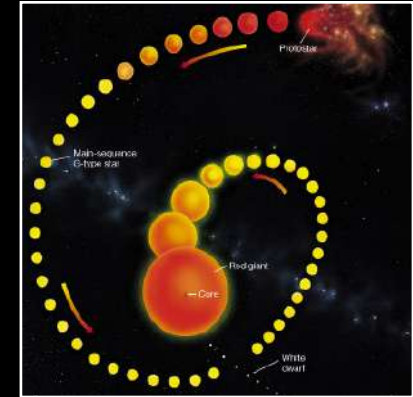
3) Neutral?



4) BBN ok?

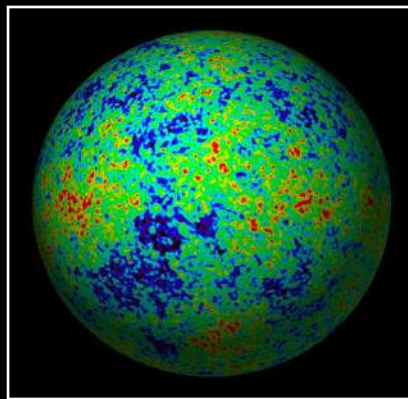


5) Stars OK?

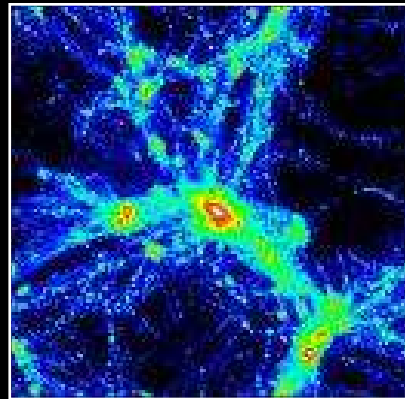


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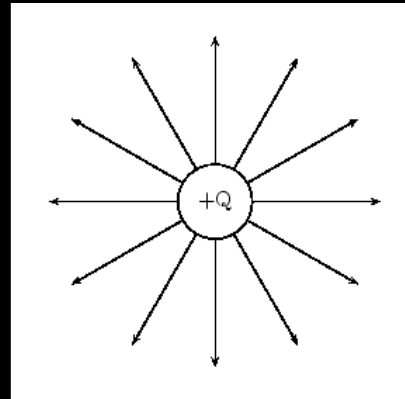
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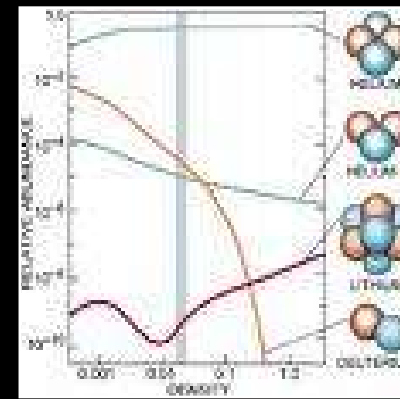
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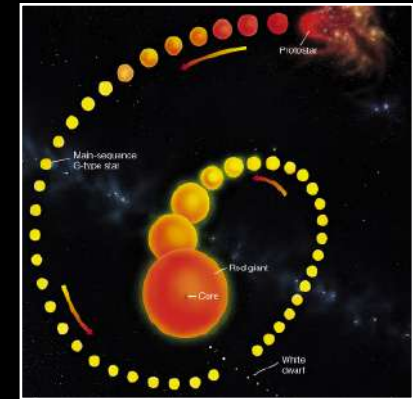
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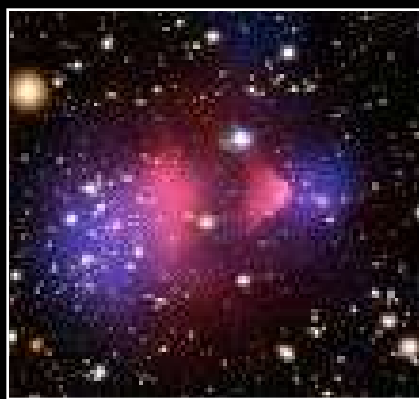
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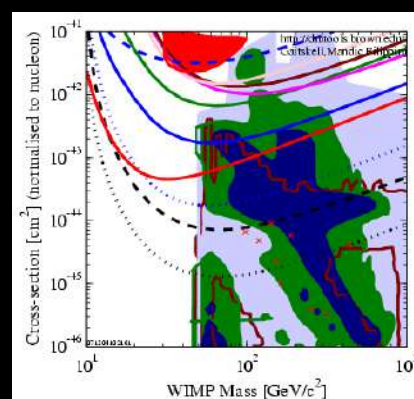
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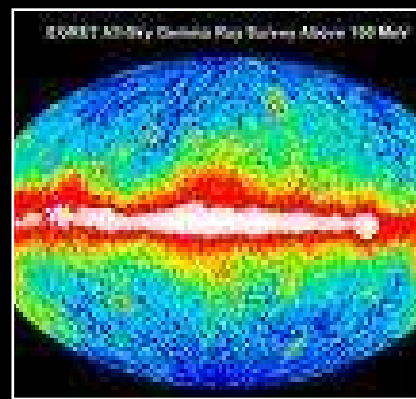
6) Collisionless?



7) Couplings OK?



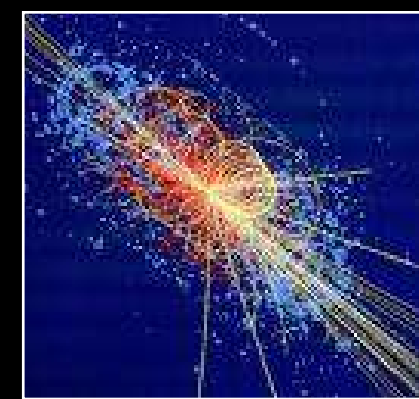
8)  $\gamma$ -rays OK?



9) Astro bounds?



10) Can probe it?



Taoso, GB, Masiero 0711.4996



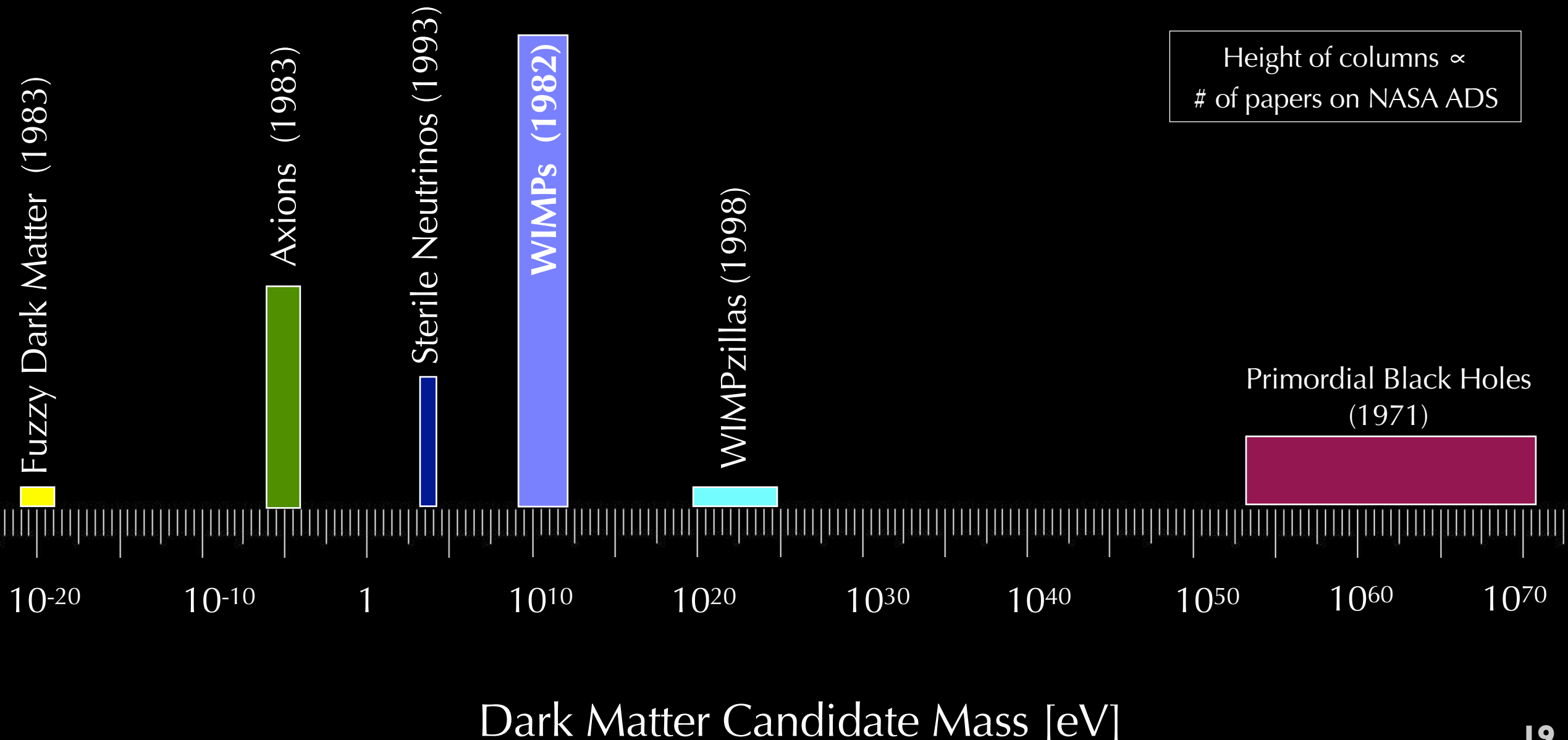
# Candidates



GB, Tait, *Nature* (2018) 1810.01668

# Candidates

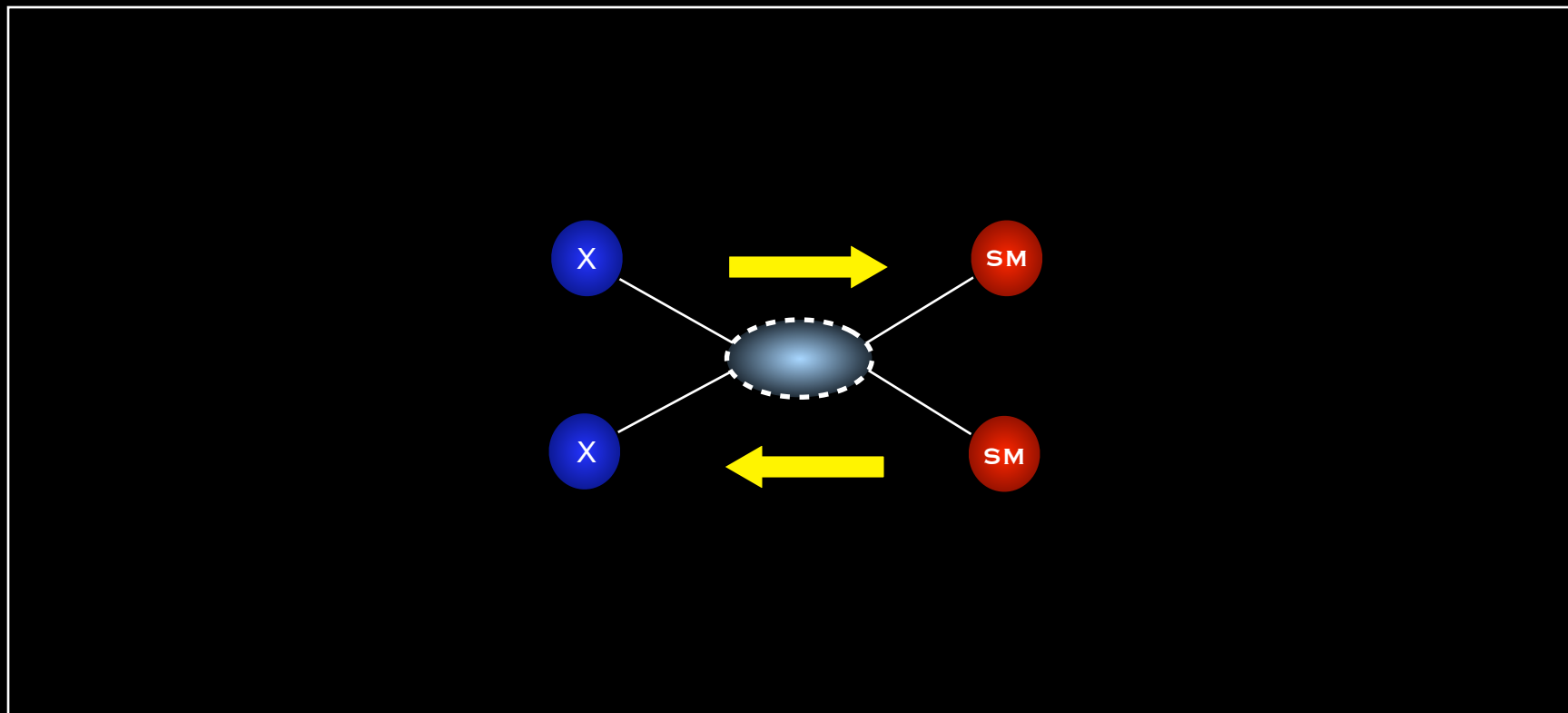
- No shortage of ideas..
- Tens of dark matter models, each with its own phenomenology
- Models span 90 orders of magnitude in DM candidate mass!



# WIMPs

By far the most studied class of dark matter candidates.

The WIMP paradigm is based on a simple yet powerful idea:

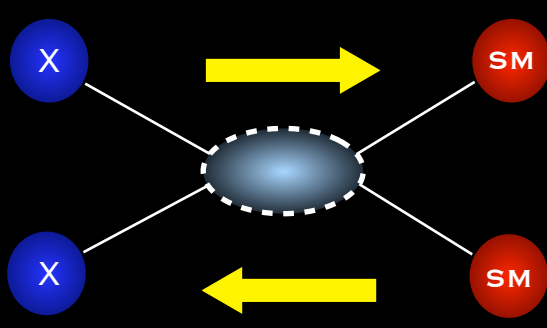




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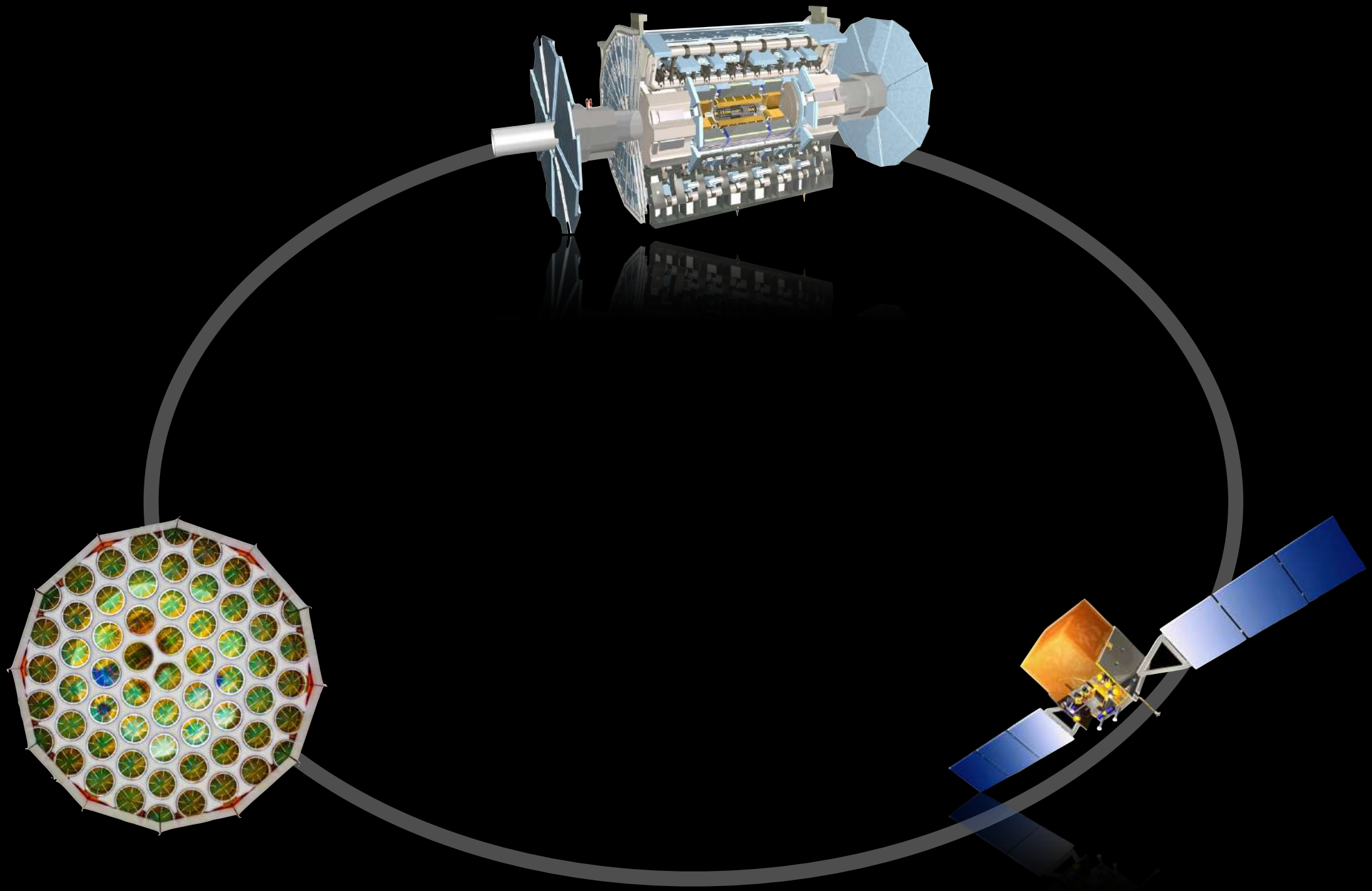

$$\frac{dn_\chi}{dt} - 3Hn_\chi = -\langle\sigma v\rangle [n_\chi^2 - (n_\chi^{\text{eq}})^2]$$

Weak-scale cross sections can reproduce observed relic density

$$\Omega h^2 \approx \frac{3 \times 10^{-27} \text{ cm}^3 \text{ s}^{-1}}{\langle\sigma v\rangle}$$

**‘WIMP miracle’:** new physics at  $\sim 1$  TeV solves at same time fundamental problems of particle physics (*hierarchy problem*) AND DM

# WIMPs searches





Are WIMPs ruled out?

**NO**

absence of evidence  $\neq$  evidence of absence



# Are WIMPs ruled out?

ATLAS/CMS searches do put pressure on SUSY, and in general on “naturalness” arguments (e.g. Giudice 1710.07663).

However:

- I. Non-fine tuned SUSY DM scenarios still exist (Beekveld+ 1906.10706)
- II. WIMP paradigm  $\neq$  WIMP miracle: particles at  $\sim$  EW scale may exist irrespectively of naturalness + achieve right relic density, thus be = DM
- III. Clear way forward: 15 years of LHC data + DD experiments all the way to “neutrino floor”

# Plan of the talk:

Preamble: the dark universe *narrative*

Part I: DM - what have we learnt?

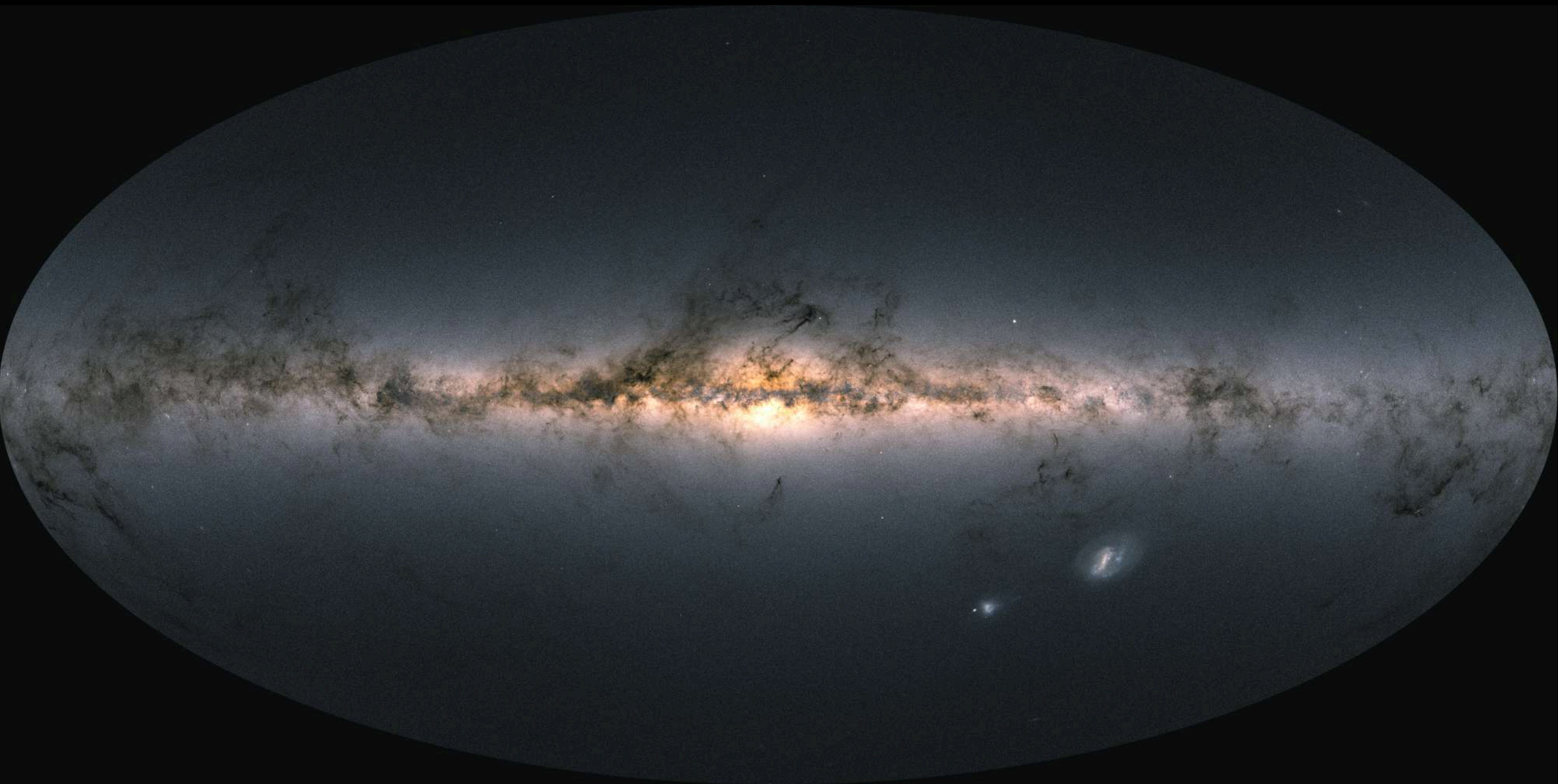
Part II: A new era in the quest for DM

# A new era in the search for DM

GB, Tait, *Nature* (2018) 1810.01668

- I. Broaden/improve/diversify searches
- II. Exploit astro/cosmo observations
- III. Exploit Gravitational Waves

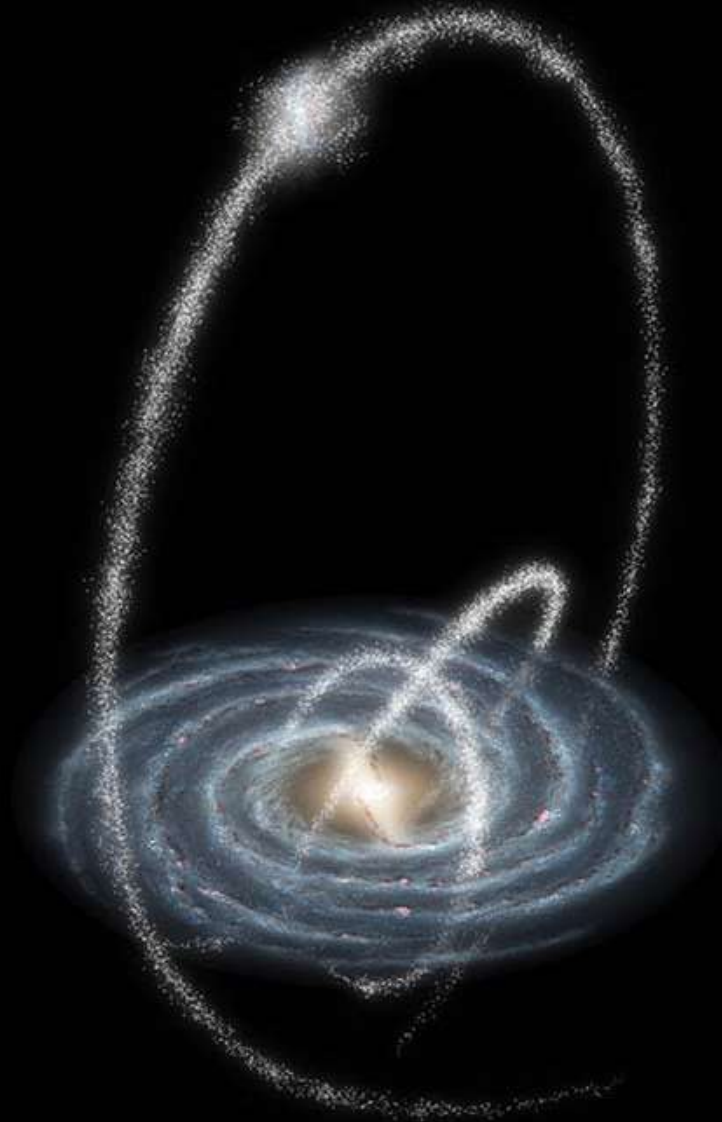
# GAIA'S SKY



Total brightness and colour of stars observed by ESA's Gaia satellite and released as part of Gaia's Early Data Release 3



# Stellar streams





# Searching for dark matter substructures in the MW





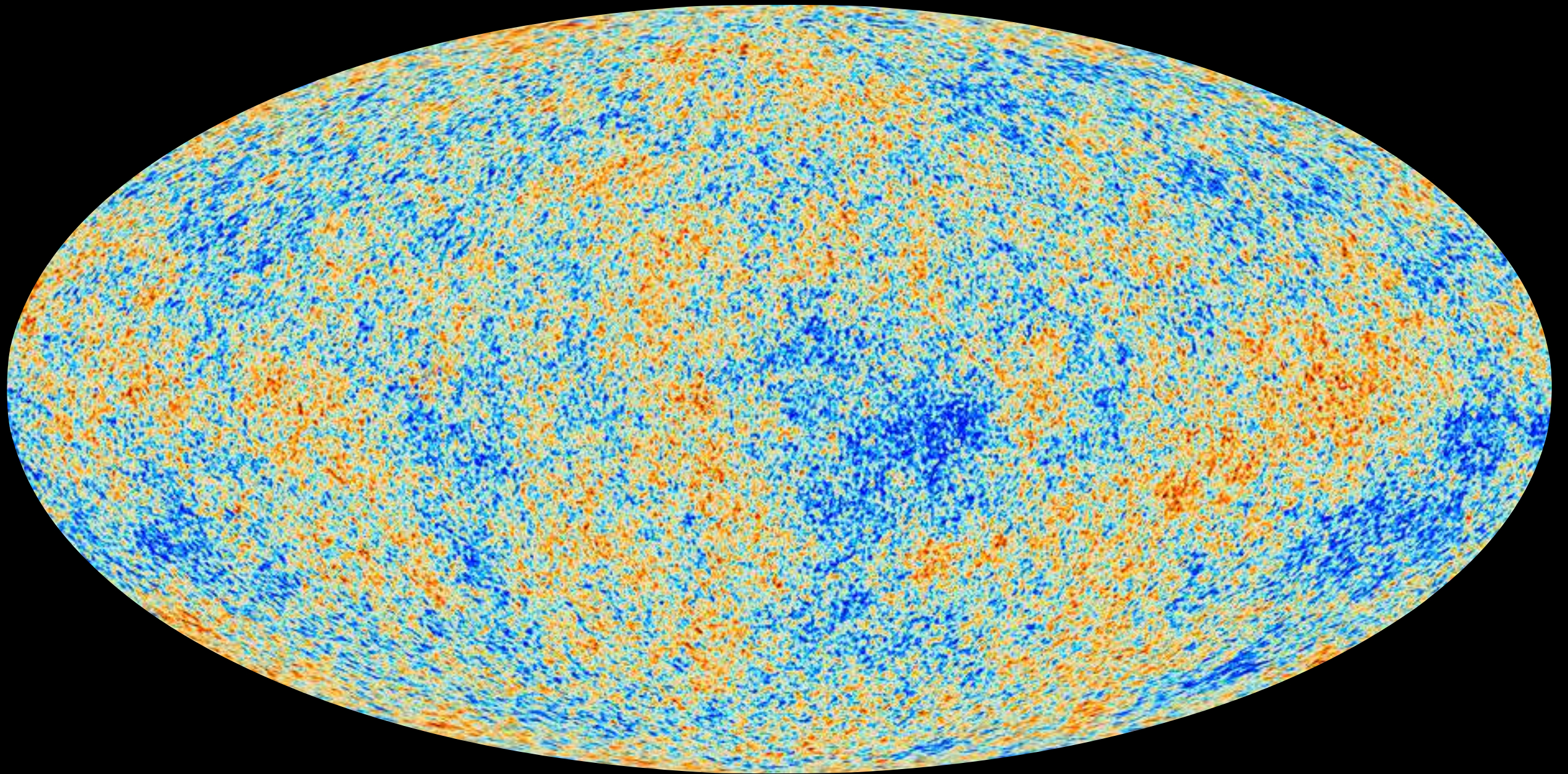
# The future of dark matter searches

- I. Broaden/improve/diversify searches
- II. Exploit astro/cosmo observations
- III. Exploit Gravitational Waves

DM <sup>?</sup> = BHs

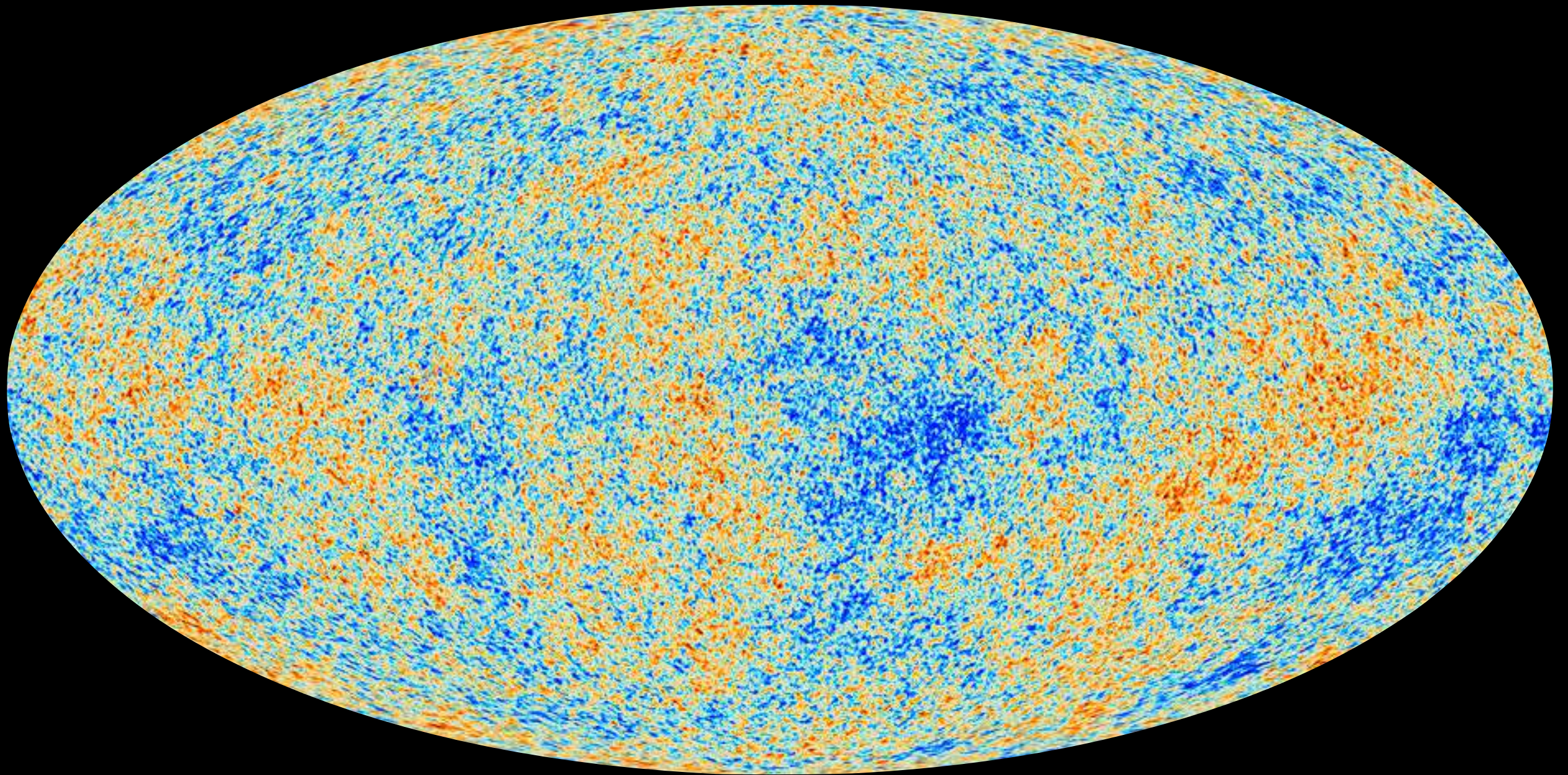


Dark matter was present already in the early universe





Dark matter was present already in the early universe..



..DM could be made of BHs, as long as they are *primordial* (not “astrophysical”)



# Primordial Black Holes

*Mon. Not. R. astr. Soc.* (1971) **152**, 75–78.

## GRAVITATIONALLY COLLAPSED OBJECTS OF VERY LOW MASS

*Stephen Hawking*

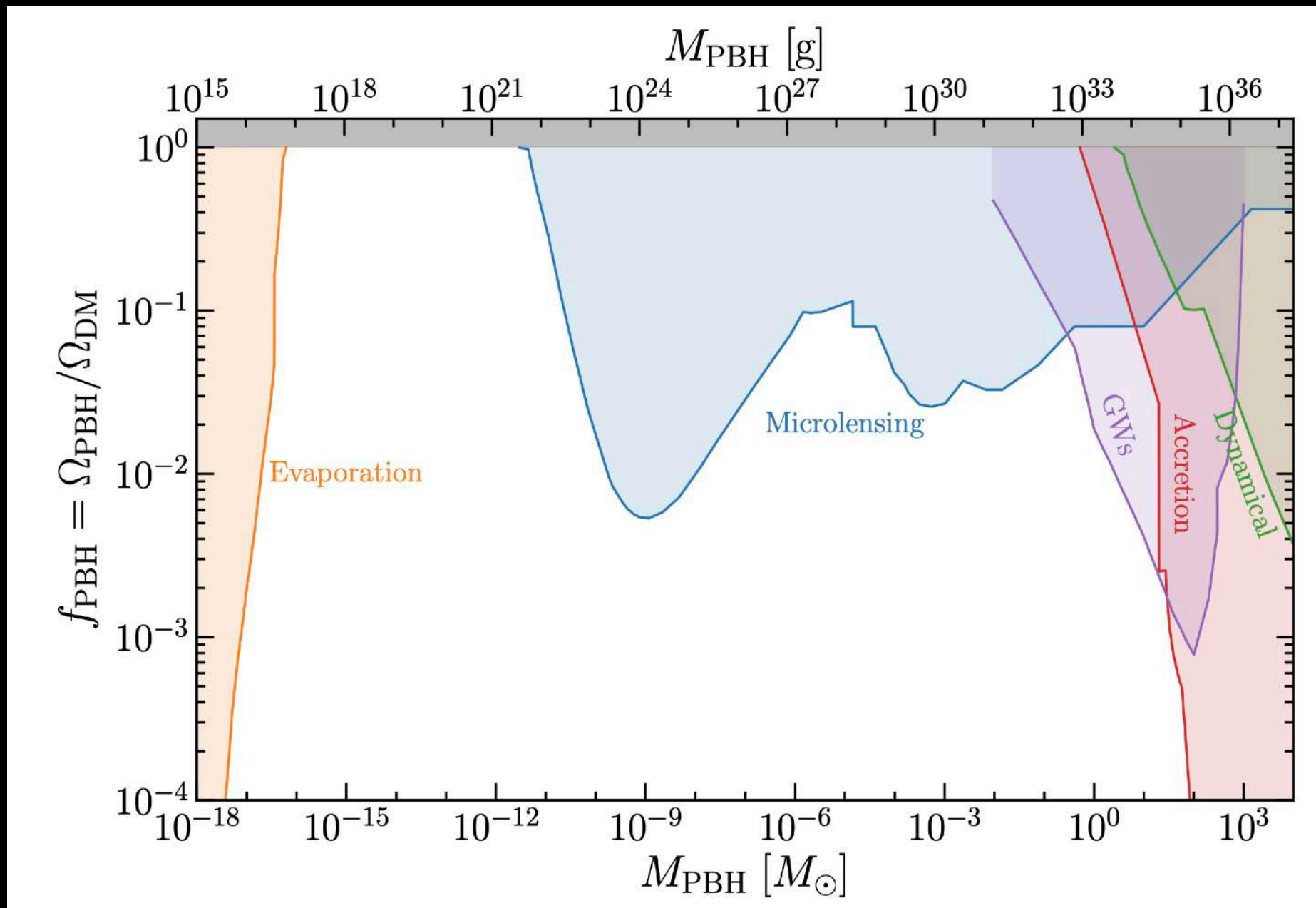
(Communicated by M. J. Rees)

(Received 1970 November 9)



An upper bound on the number of these objects can be set from the measurements by Sandage (7) of the deceleration of the expansion of the Universe. These measurements indicate that the average density of the Universe cannot be greater than about  $10^{-28}$  g cm<sup>-2</sup>. Since the average density of visible matter is only about  $10^{-31}$  g cm<sup>-2</sup>, it is tempting to suppose that the major part of the mass of the Universe is in the form of collapsed objects. This extra density could stabilize clusters of galaxies which, otherwise, appear mostly not to be gravitationally bound.

# Constraints on BHs abundance

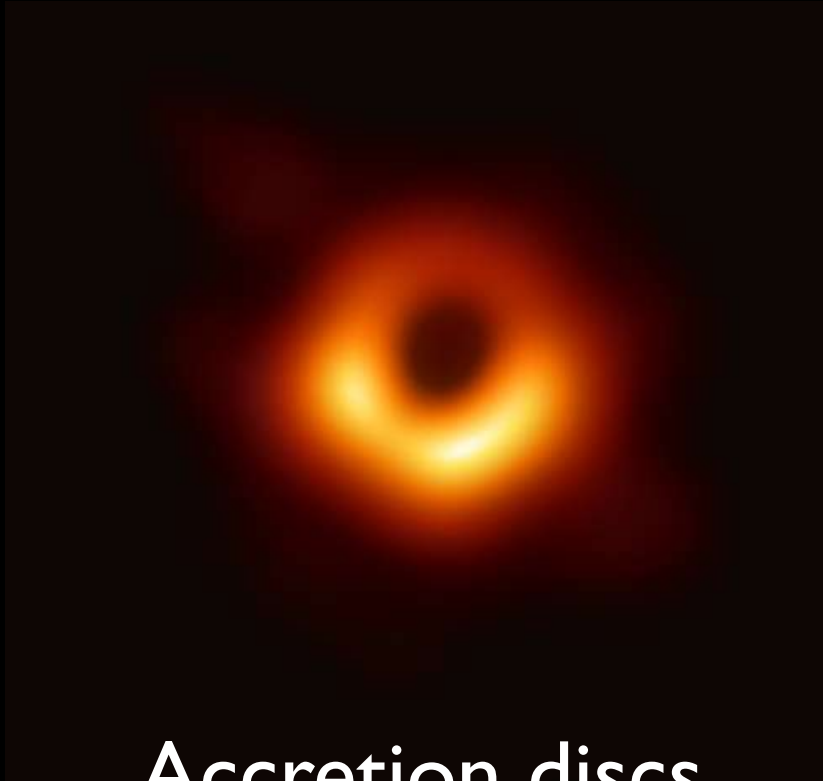


Green & Kavanagh 2007.10722

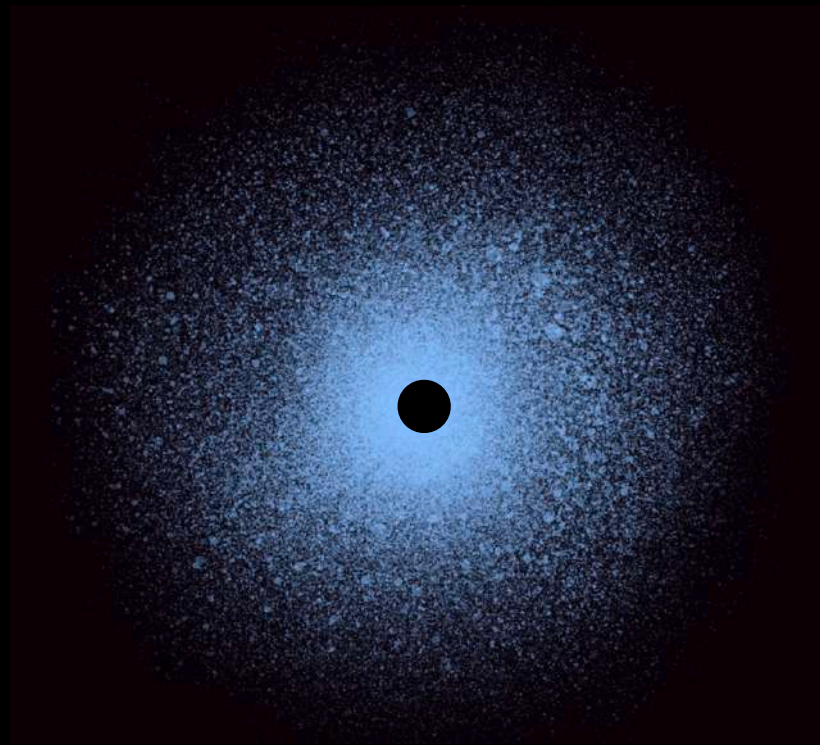
**DM *around* BHs?**



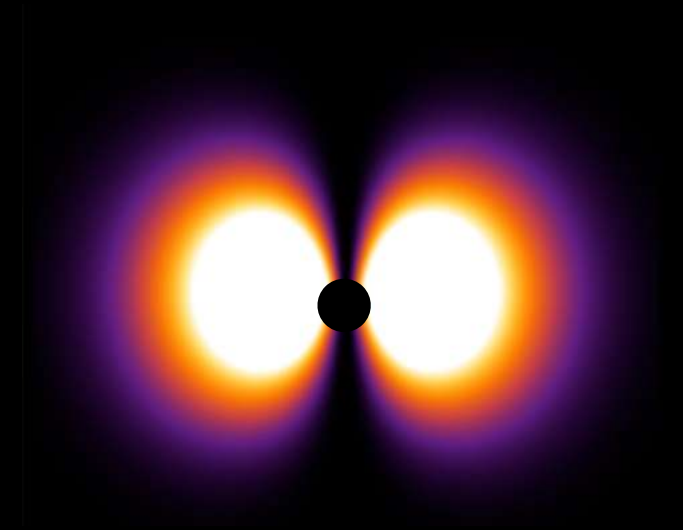
# BH environments



Accretion discs

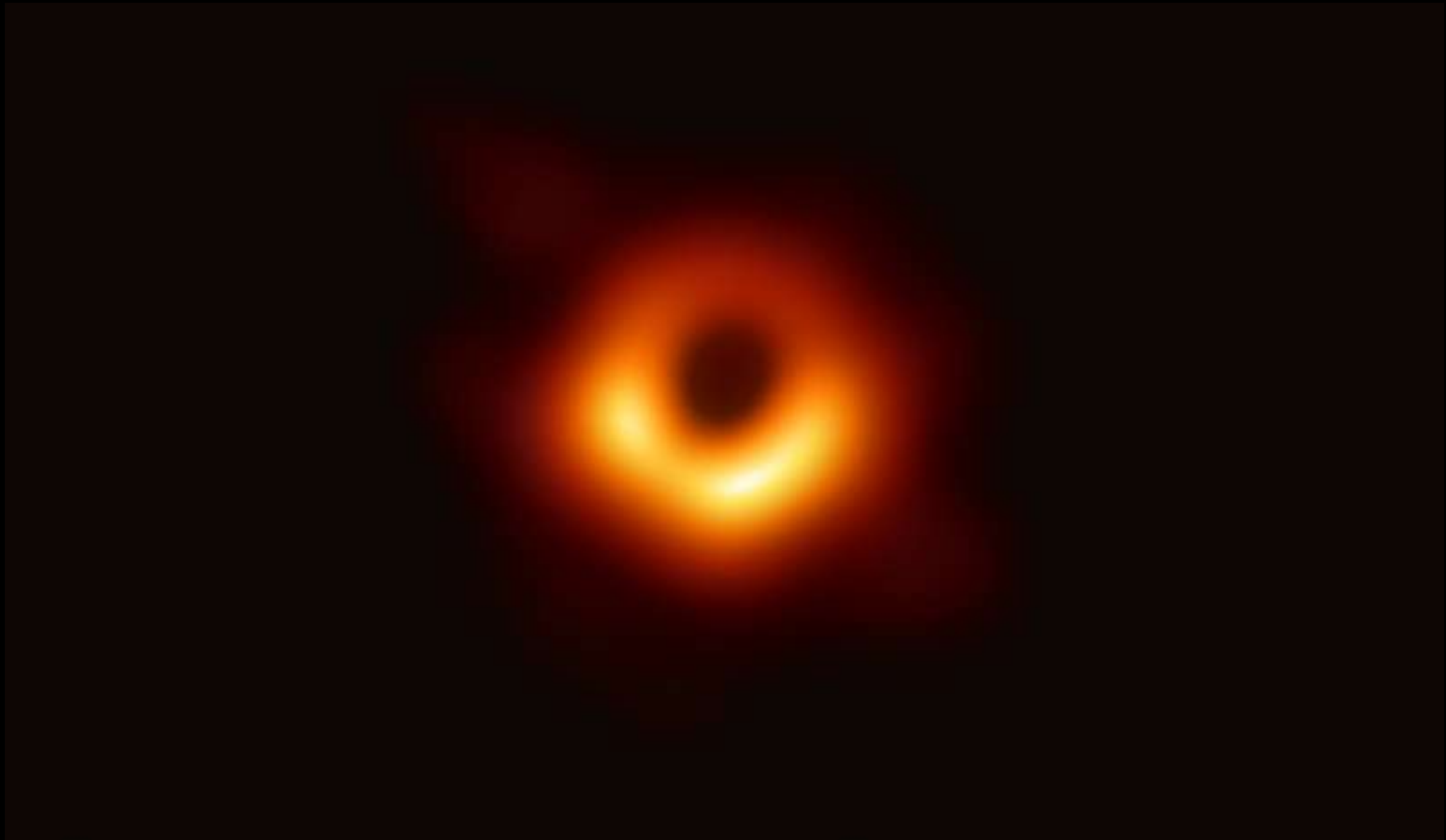


DM 'spikes'



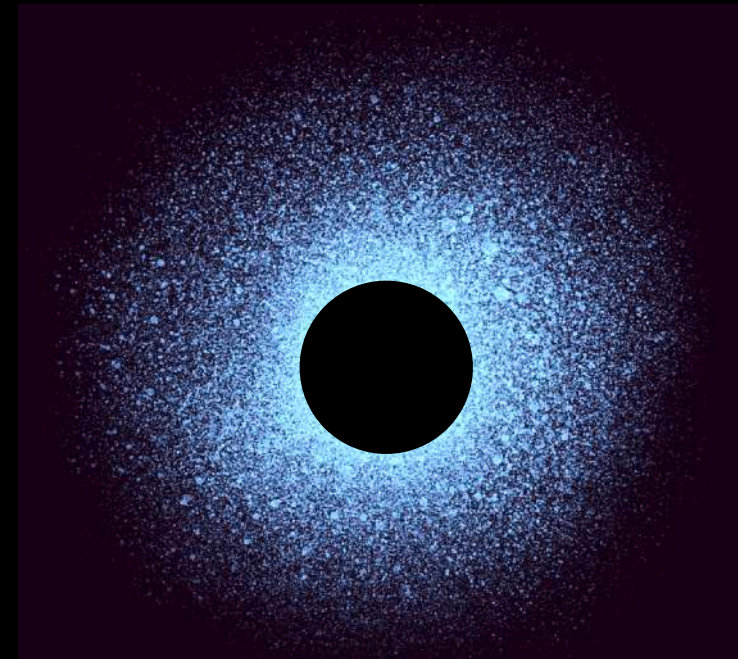
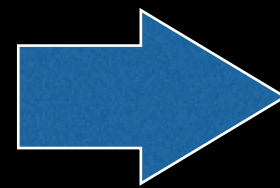
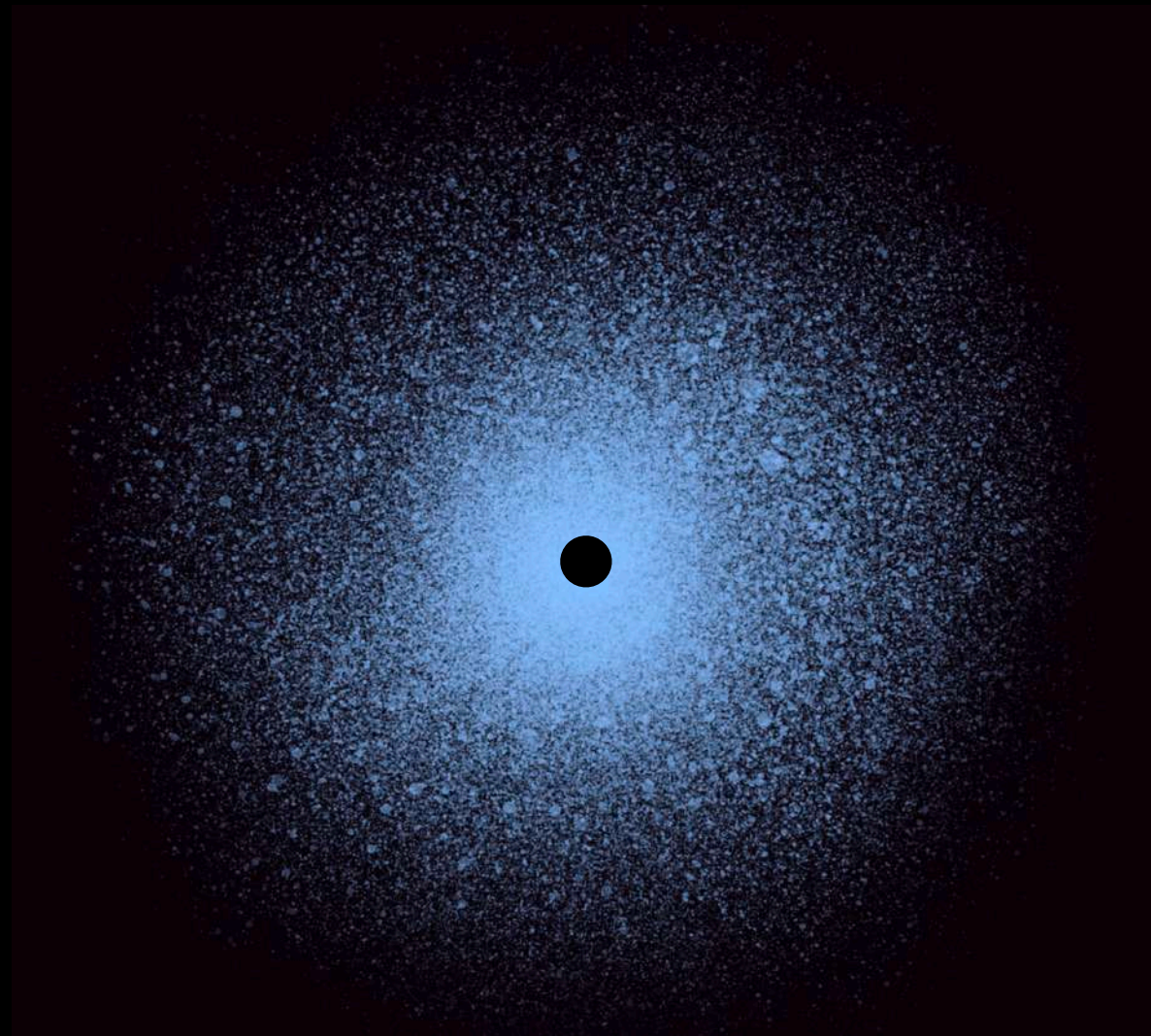
Gravitational atoms

# Accretion discs



Event Horizon Telescope 2019

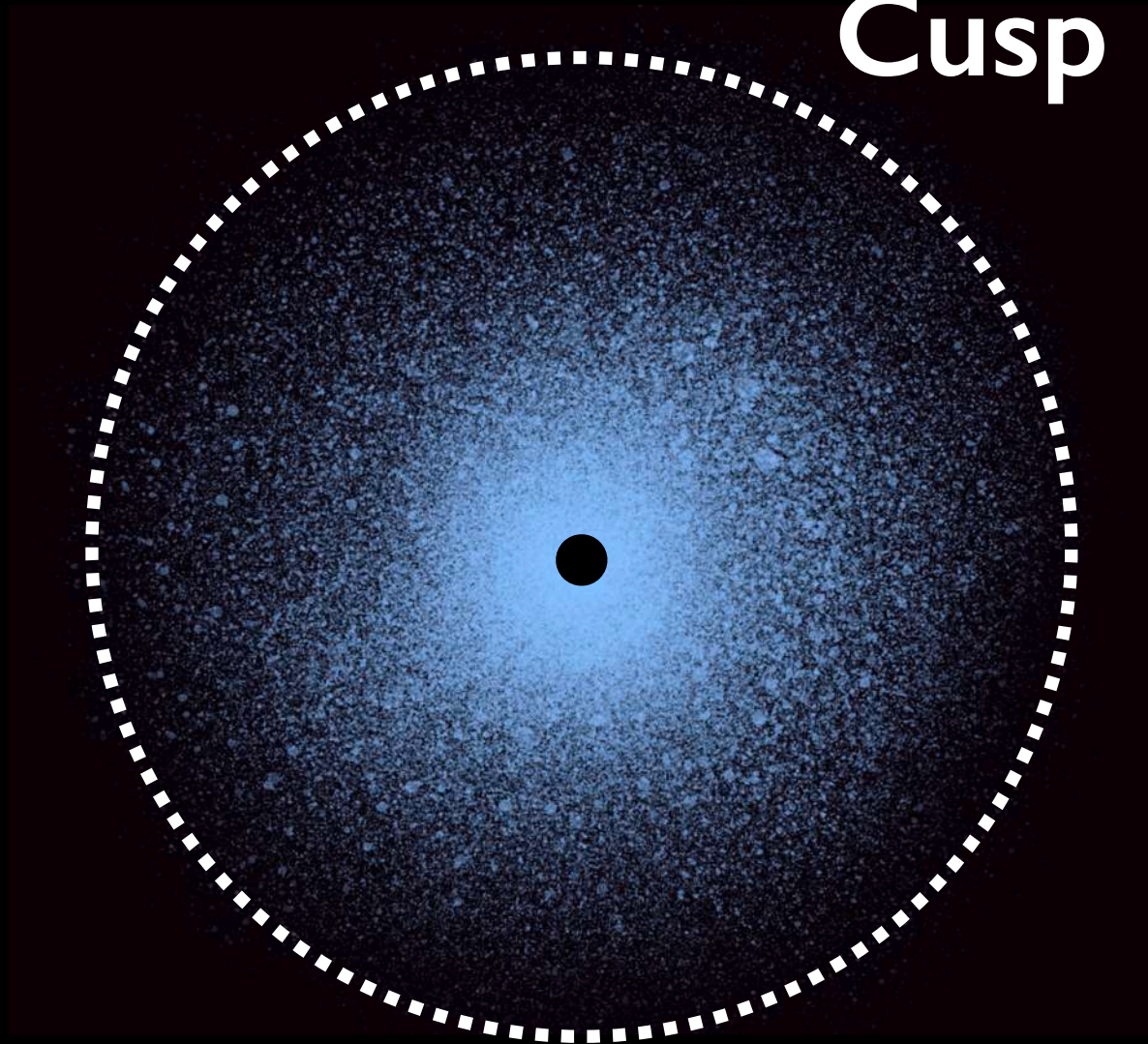
# DM 'spikes' around Astrophysical BHs





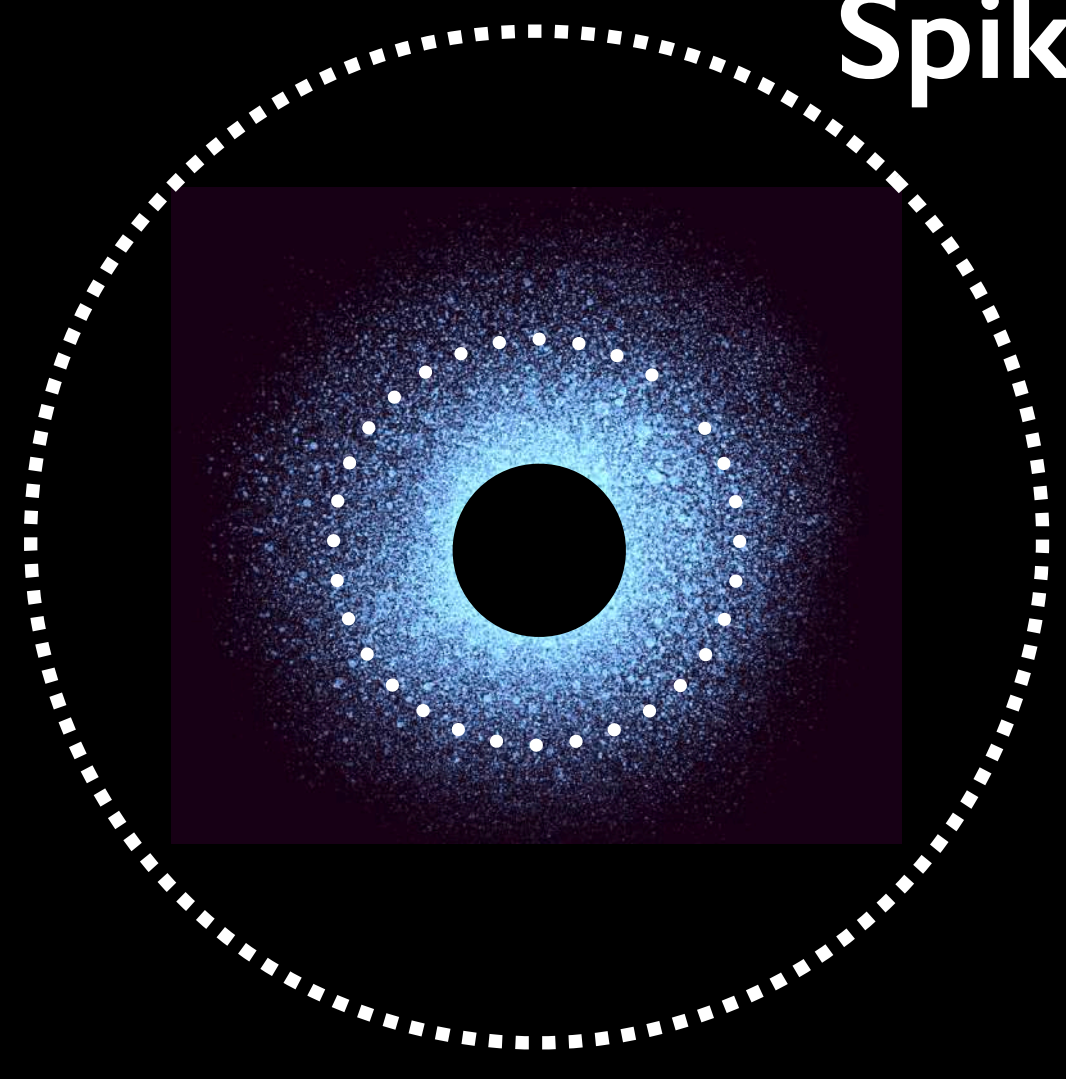
# DM 'spikes' around SMBH and IMBH

Cusp



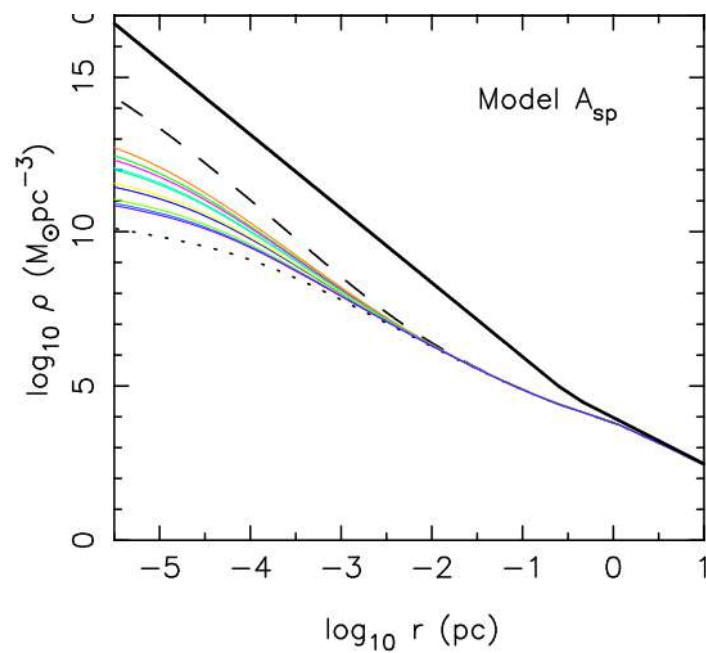
$$\rho_{\text{cusp}}(r) \sim r^{-\gamma}$$

Spike



$$\rho_{\text{spike}}(r) \sim r^{-\gamma_{\text{sp}}}, \quad \gamma_{\text{sp}} = \frac{9 - 2\gamma}{4 - \gamma}$$

# DM 'spikes'

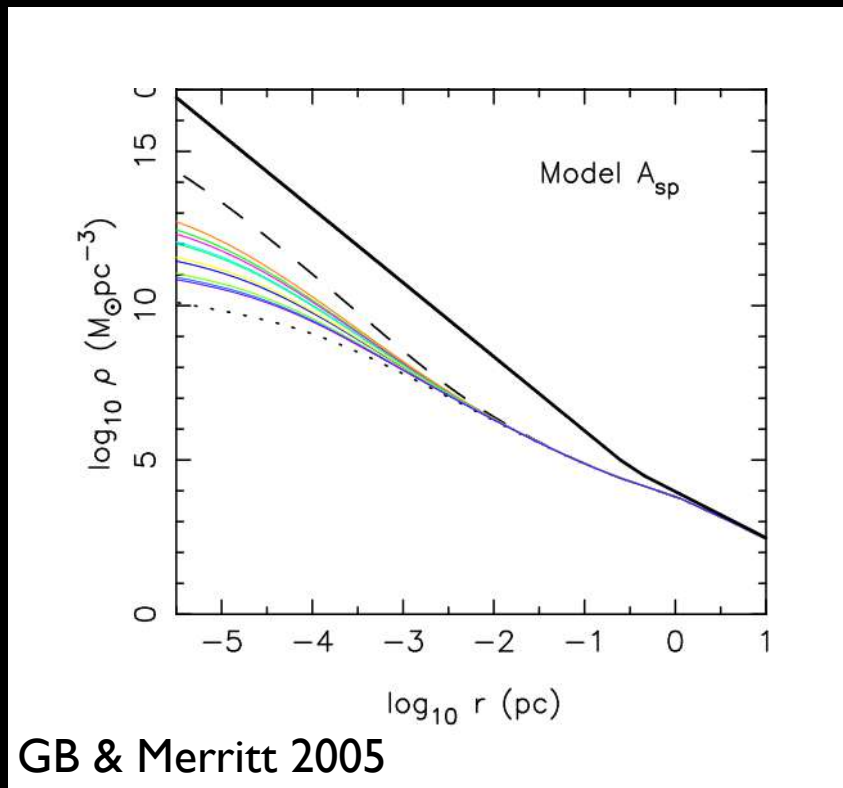


GB & Merritt 2005

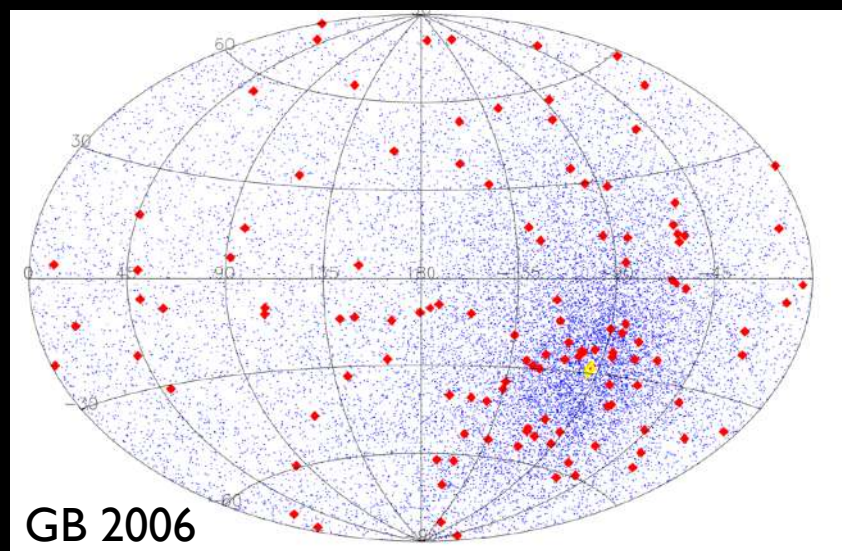
- Initially proposed in the context of Sgr A\* at the Galactic center (*Gondolo & Silk astro-ph/9906391*)
- High baryon density: major mergers + scattering off stars likely destroy any over density (GB & Merritt astro-ph/0504422)



# DM 'spikes'

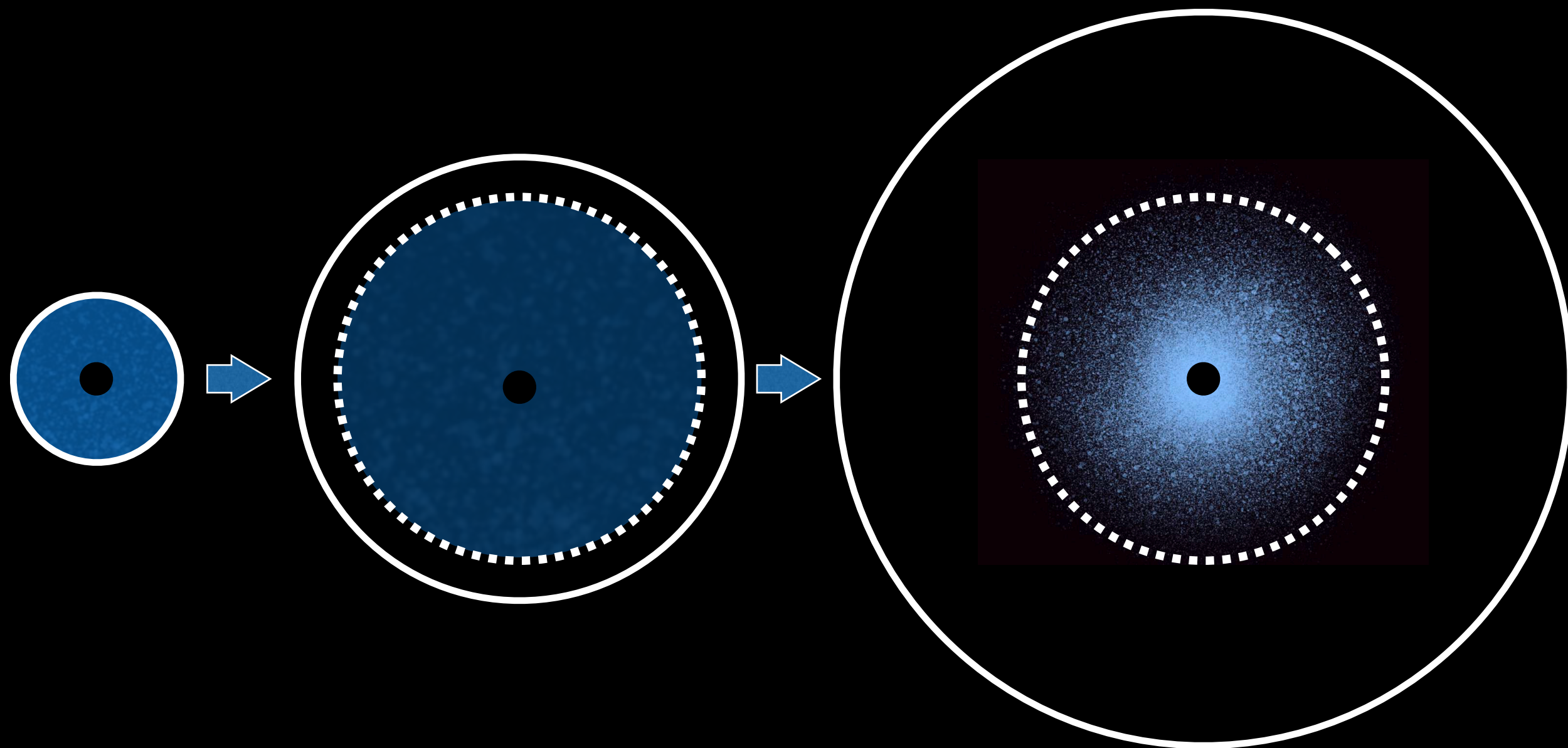


- Initially proposed in the context of Sgr A\* at the Galactic center (*Gondolo & Silk astro-ph/9906391*)
- High baryon density: major mergers + scattering off stars likely destroy any over density (GB & Merritt astro-ph/0504422)



- 'Mini-spikes' around IMBHs! (GB, Zentner, Silk astro-ph/0509565)
- Targets for indirect detection (eg with neutrino telescopes GB astro-ph/0603148, Freese+ 2202.01126)

# DM overdensities around PBHs

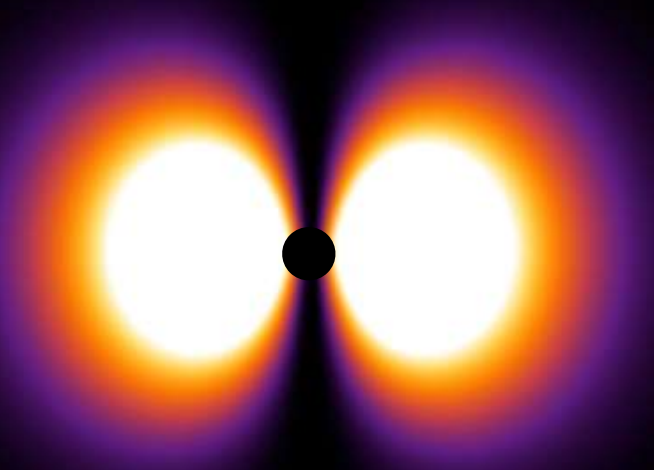


PBH

'Turnaround' point, when particles decouple from expansion

$$\rho_{\text{DM}}(r) \sim r^{-9/4}$$

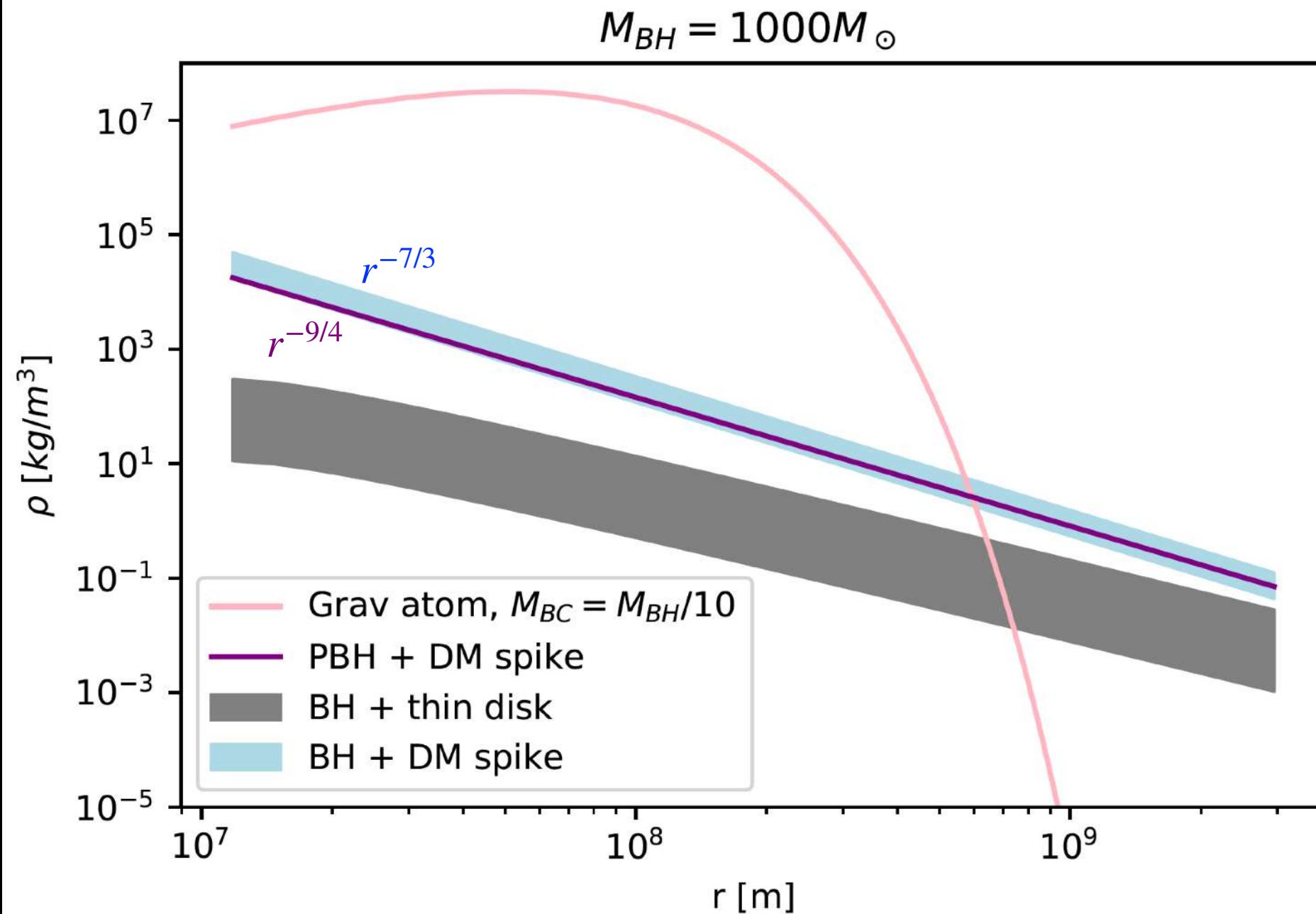
# Gravitational atoms



Y. Zel'Dovich (1971, 1972); C. Misner (1972); A. Starobinsky (1973); W. East and F. Pretorius (2017); R. Brito, V. Cardoso, and P. Pani (2015) ...

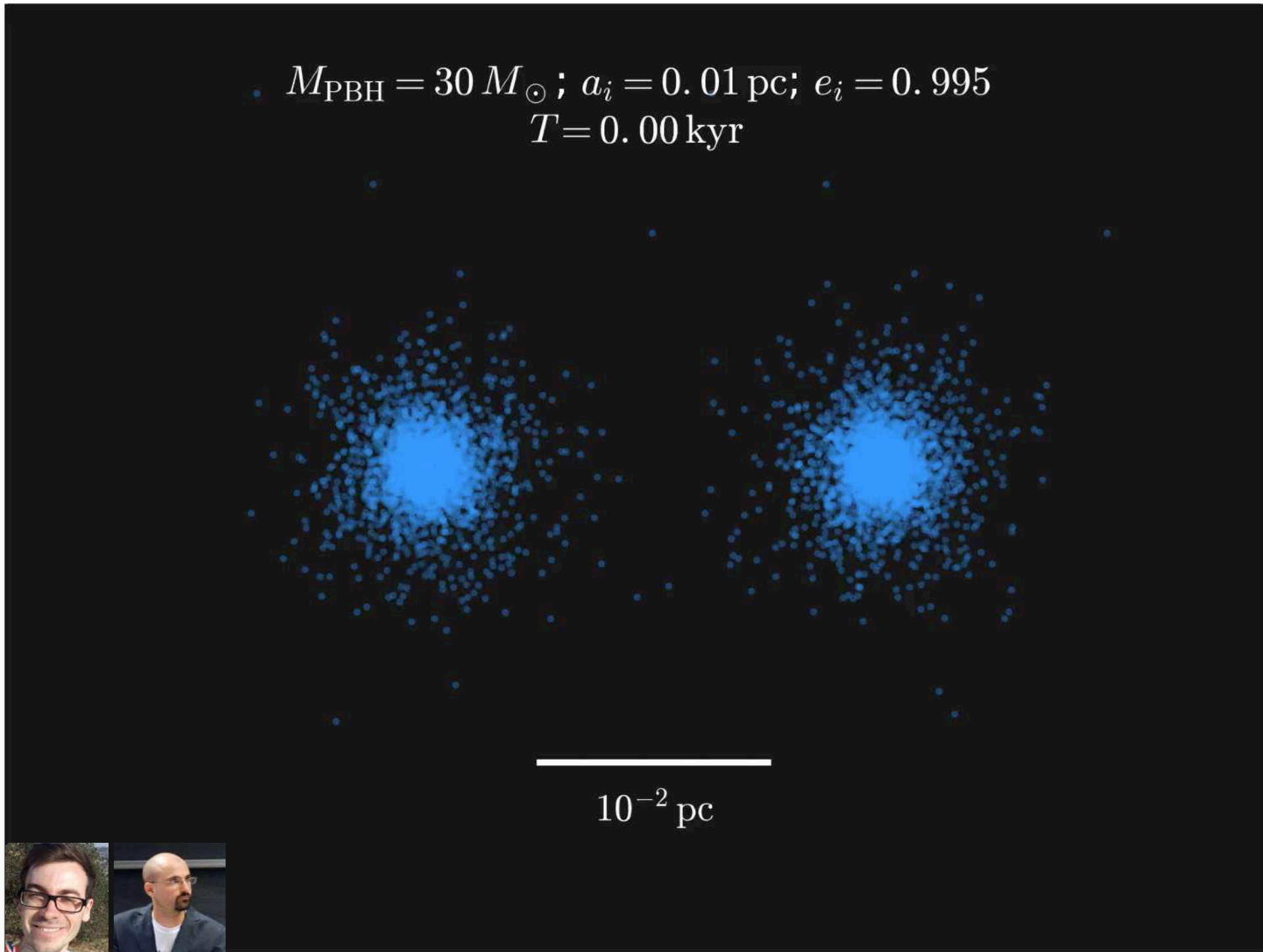
- If ultra-light bosons exist, they can be produced around rotating black holes through a process called **superradiance**
- This effect can extract enough mass and angular momentum to form large **cloud** of **condensate** of the bosonic field
- BH + boson cloud = **gravitational atom**, in analogy with proton-electron structure in H atom

# BH environments



# 'Dressed' BH-BH merger

$$M_{\text{PBH}} = 30 M_{\odot}; a_i = 0.01 \text{ pc}; e_i = 0.995$$
$$T = 0.00 \text{ kyr}$$



Kavanagh, Gaggero & GB, arXiv:1805.09034



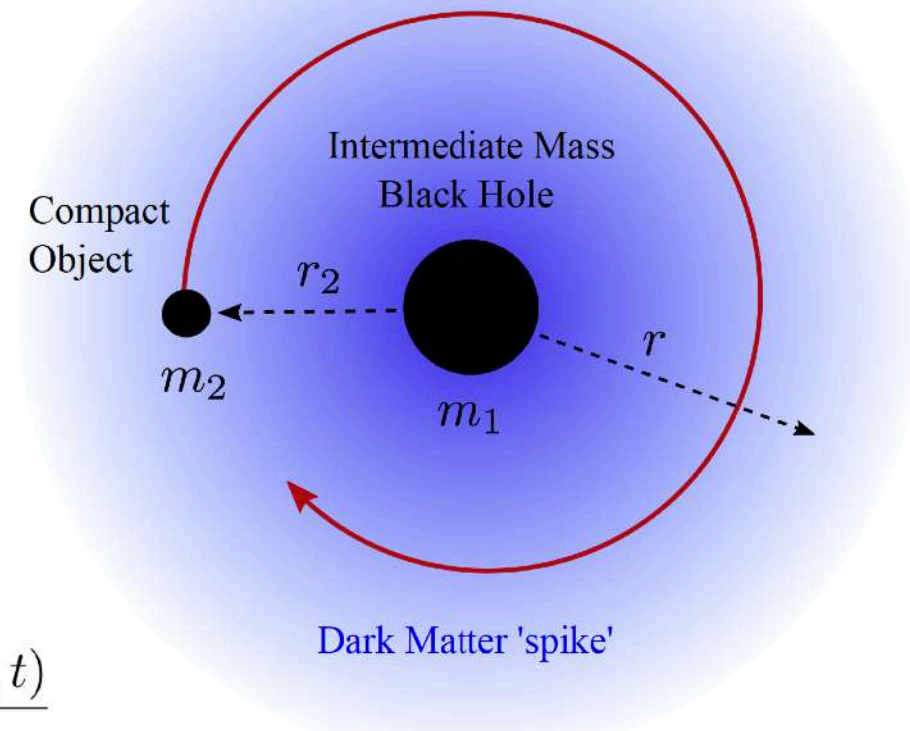
# EMRIs in presence of spikes

Energy losses:

$$\dot{E}_{\text{orb}} = -\dot{E}_{\text{GW}} - \dot{E}_{\text{DF}}$$

Separation:

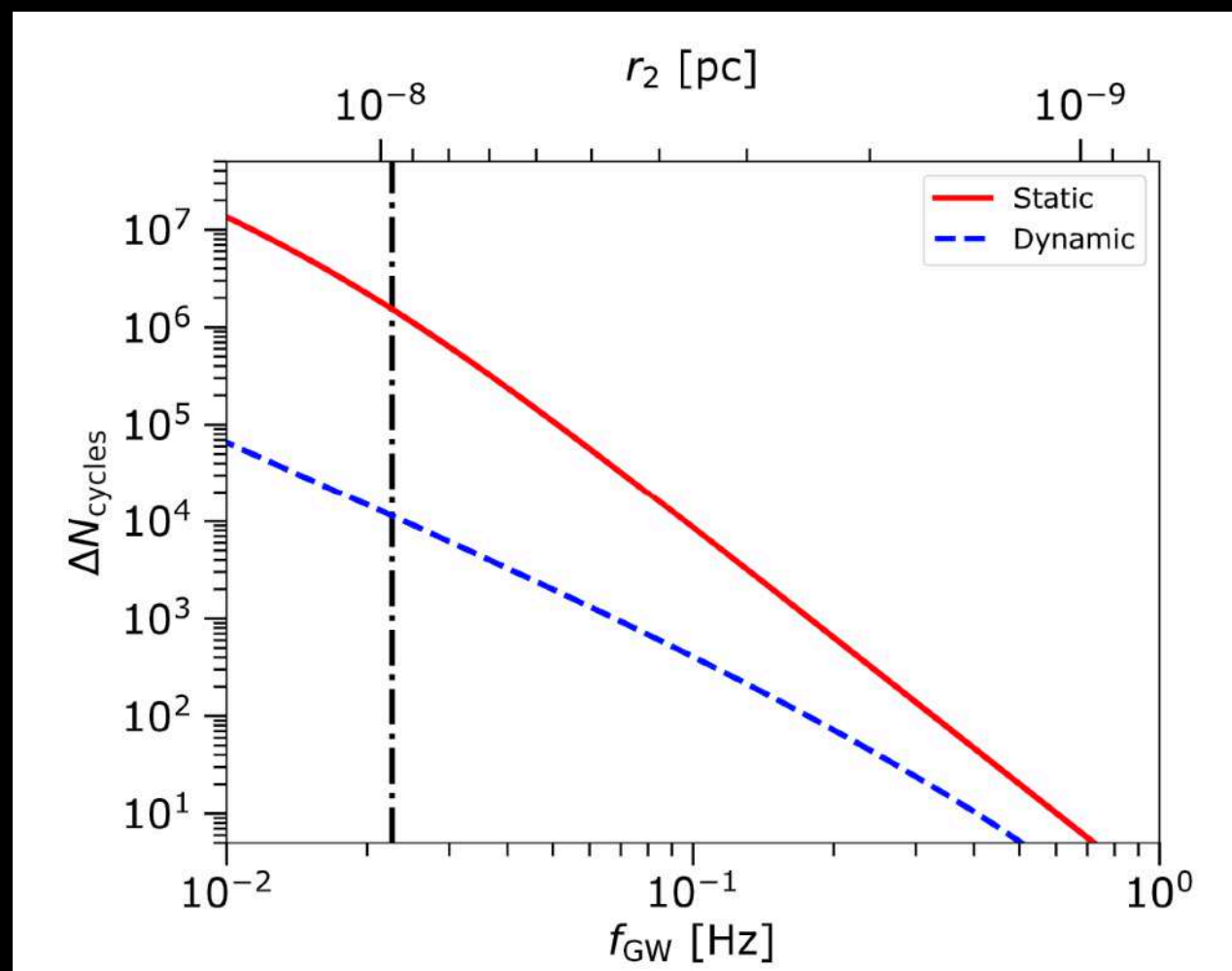
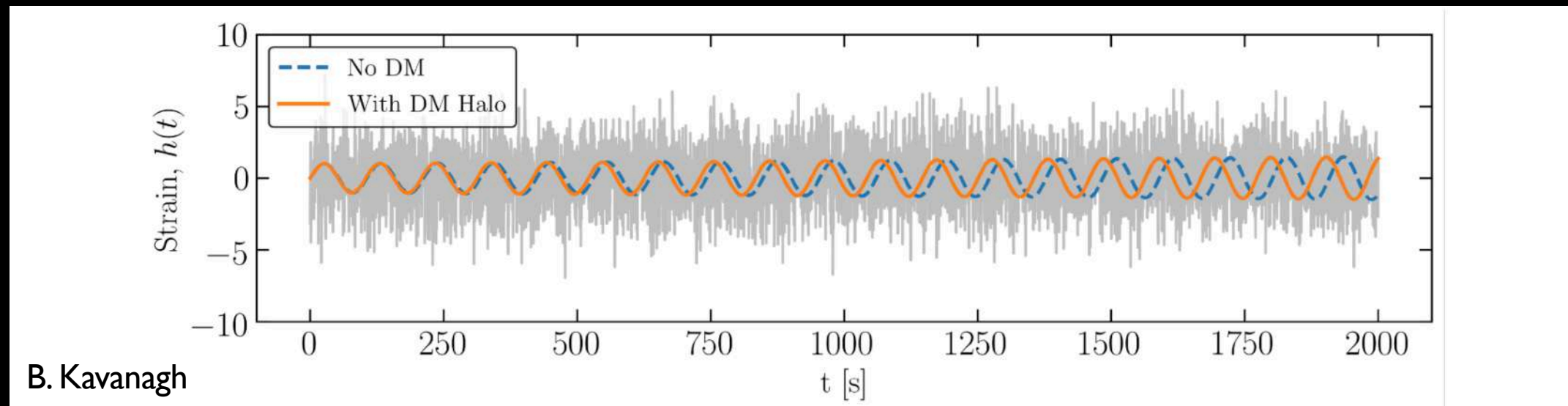
$$\dot{r}_2 = -\frac{64 G^3 M m_1 m_2}{5 c^5 (r_2)^3} - \frac{8\pi G^{1/2} m_2 \log \Lambda r_2^{5/2} \rho_{\text{DM}}(r_2, t) \xi(r_2, t)}{\sqrt{M m_1}}$$



Time-dependent dark matter profile:

$$T_{\text{orb}} \frac{\partial f(\mathcal{E}, t)}{\partial t} = -p_{\mathcal{E}} f(\mathcal{E}, t) + \int \left( \frac{\mathcal{E}}{\mathcal{E} - \Delta\mathcal{E}} \right)^{5/2} f(\mathcal{E} - \Delta\mathcal{E}, t) P_{\mathcal{E} - \Delta\mathcal{E}}(\Delta\mathcal{E}) d\Delta\mathcal{E}$$

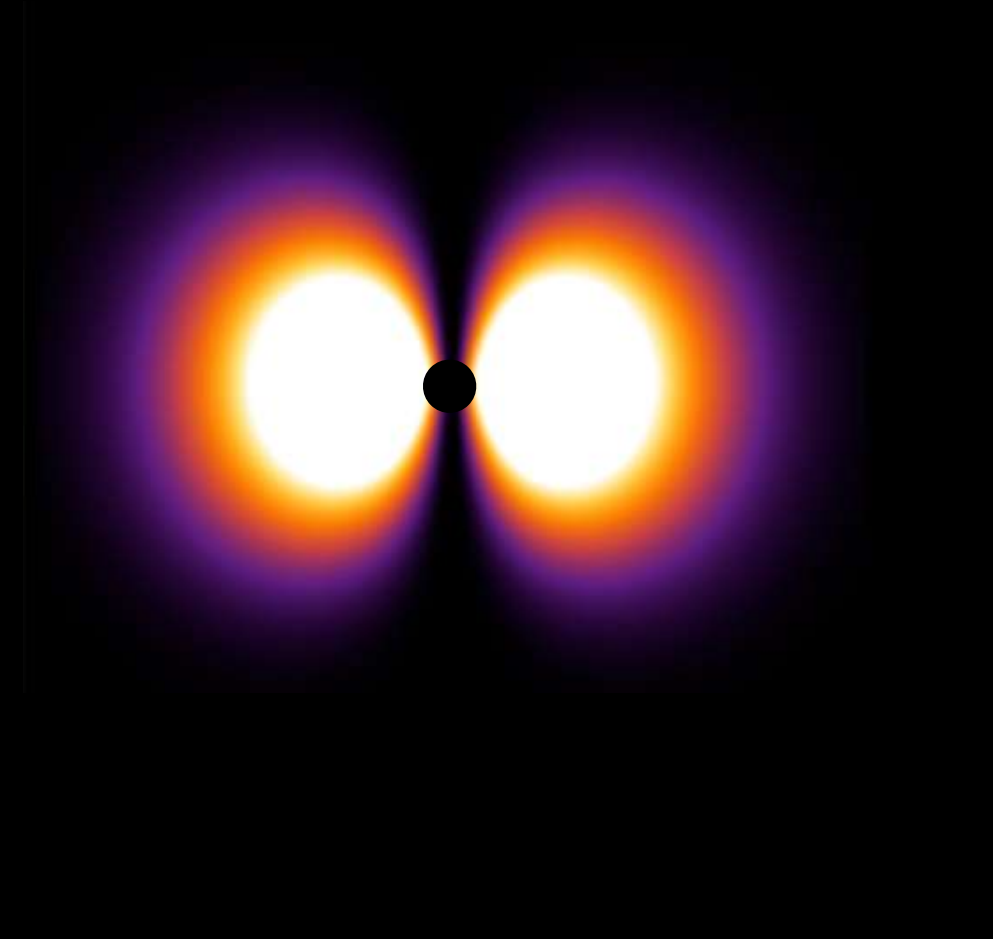
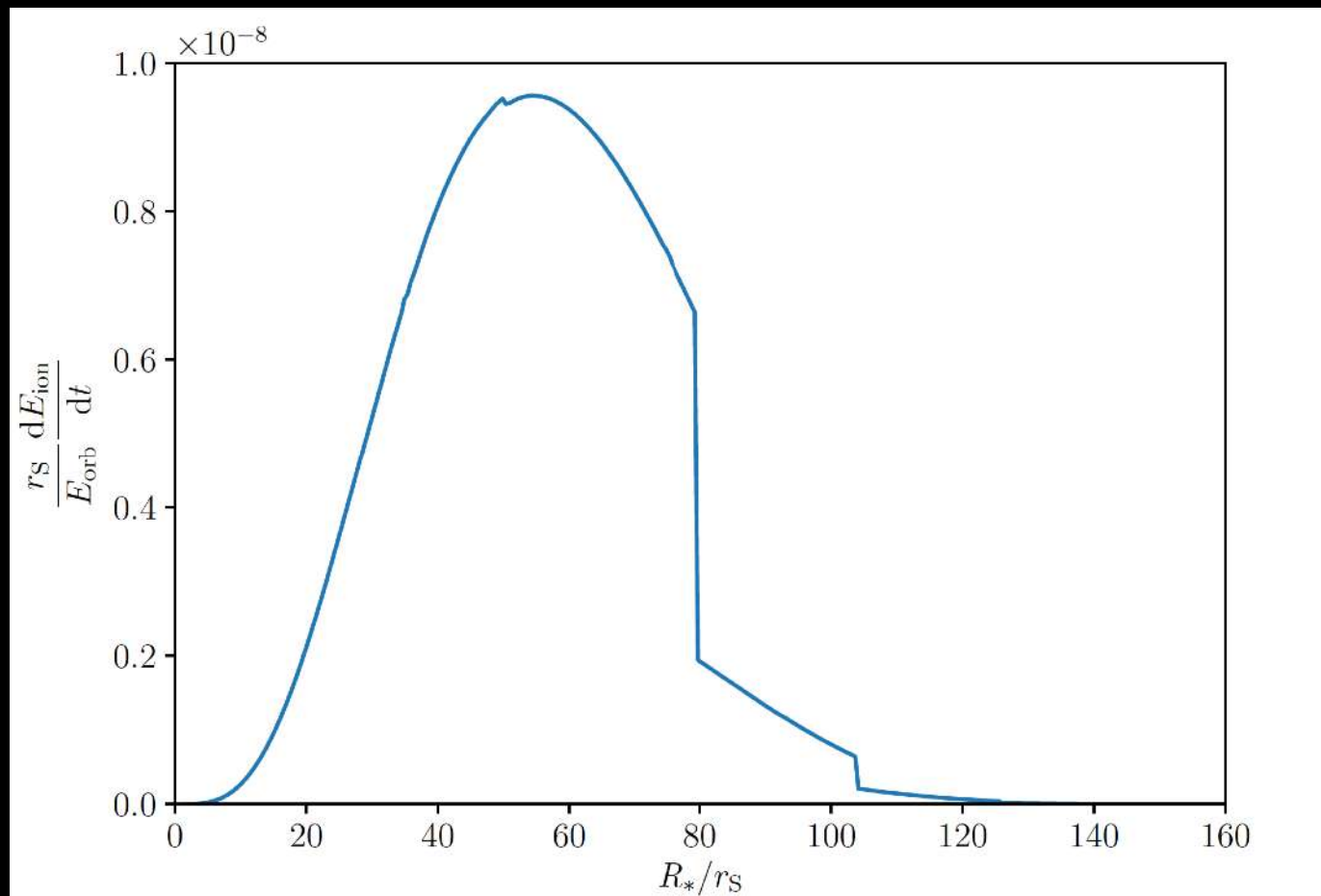
# Gravitational Waveform dephasing



- Dark matter modifies binary dynamics via dynamical friction (Eda+ 2013, 2014)
- Binary modifies DM phase space via dynamical friction (2002.I2811)
- This induces a dephasing of the waveform, potentially detectable e.g. with LISA

# EMRIs in presence of Gravitational Atoms

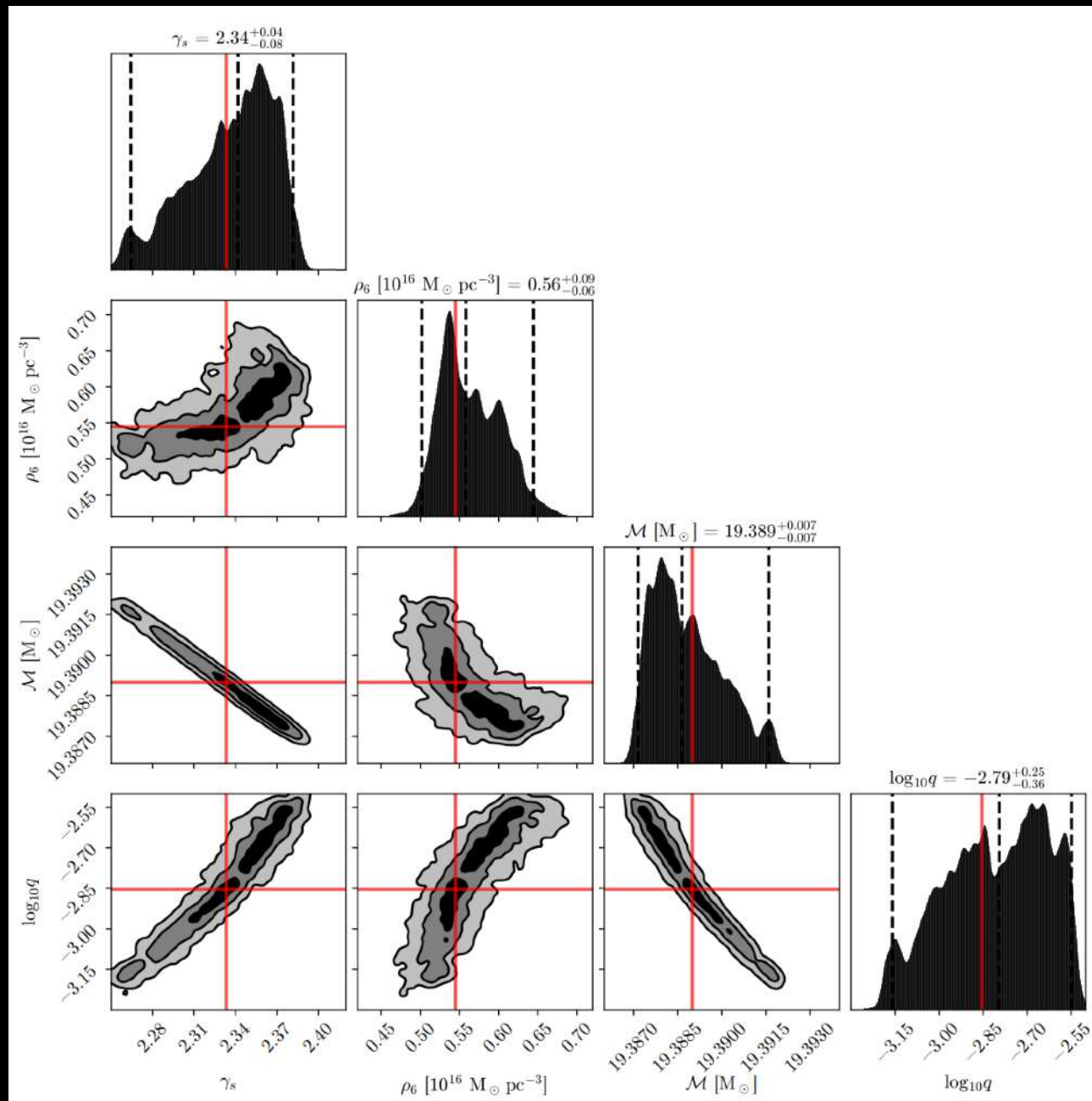
Energy lost by the binary due to 'ionisation'



- 'Resonances' due to transitions between bound states  $\langle a | V_*(t) | b \rangle$   
*Baumann, Chia, Porto, arXiv:1804.03208*
- 'Ionization', i.e. transitions to continuum  $\langle a | V_*(t) | klm \rangle$   
*Baumann, GB, Stout, Tomaselli Phys.Rev.Lett. 128 (2022) 22, 221102*
- New: important role of accretion, leading to time dependent mass ratio  $q(t)$   
*Baumann, GB, Stout, Tomaselli 2112.14777 + PRL*

# Signature of DM in EMRI waveforms

Coogan, GB, Gaggero, Kavanagh Nichols 2021

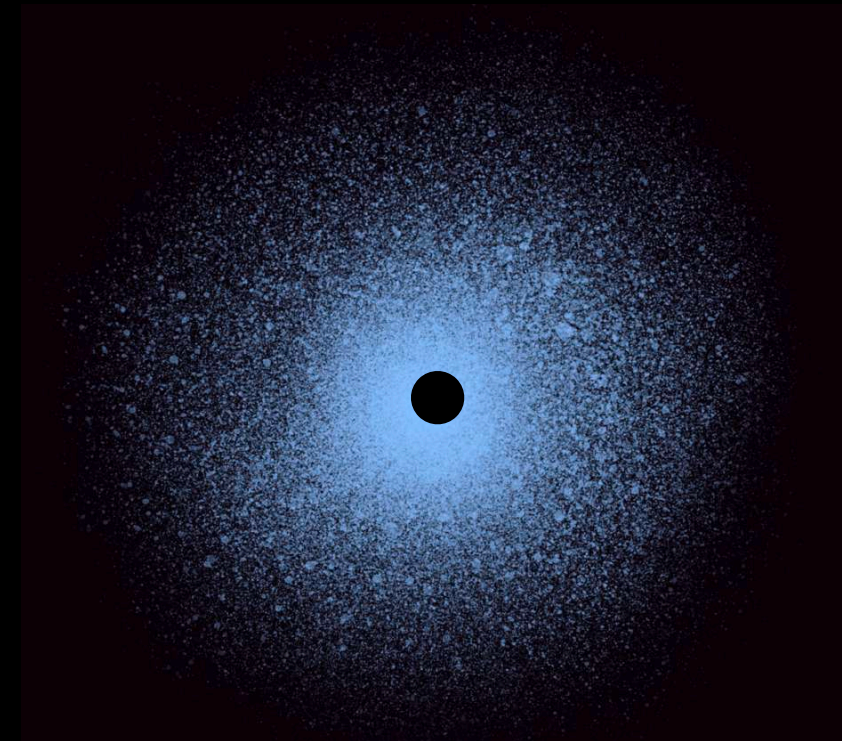


Spike Slope

Normalisation

Chirp Mass

Mass ratio

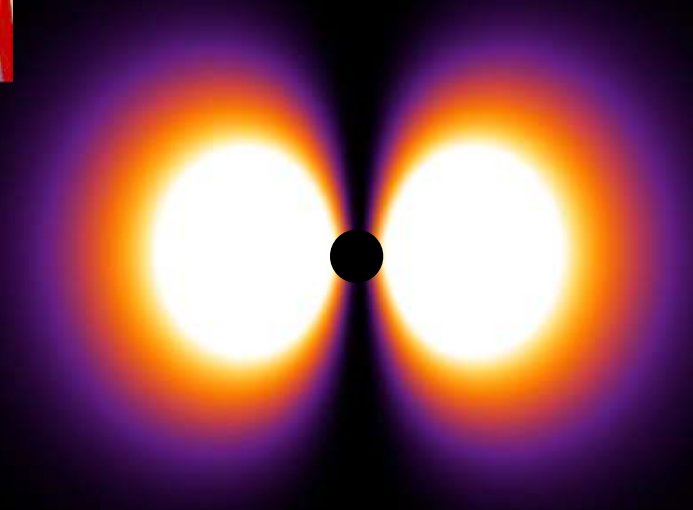
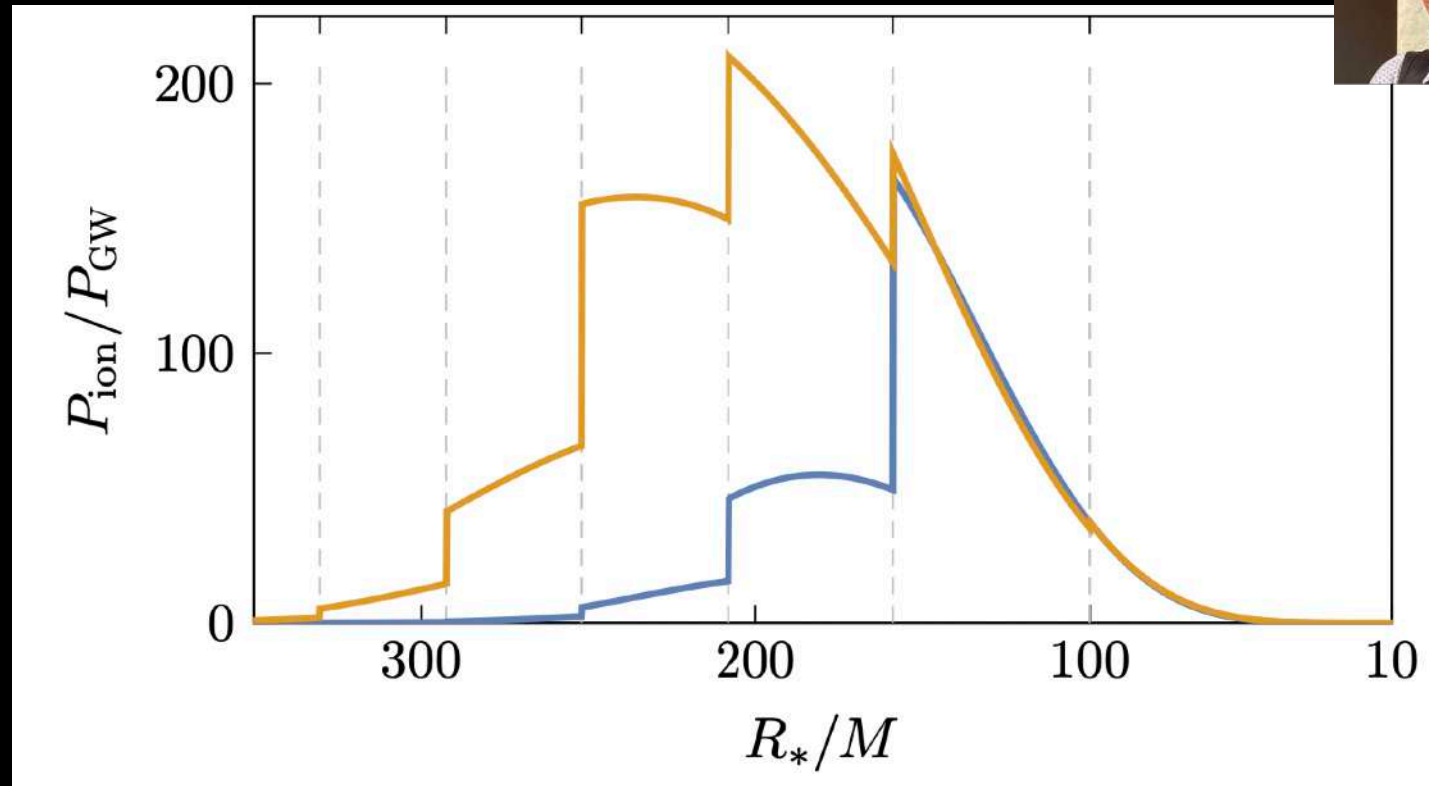


- Dark dresses within  $\sim 100$  Mpc are detectable with Lisa
- Can discover that fiducial systems are not GR-in-vacuum (in terms of Bayes factor)
- Can measure DM density profile normalization, slope and even mass ratio



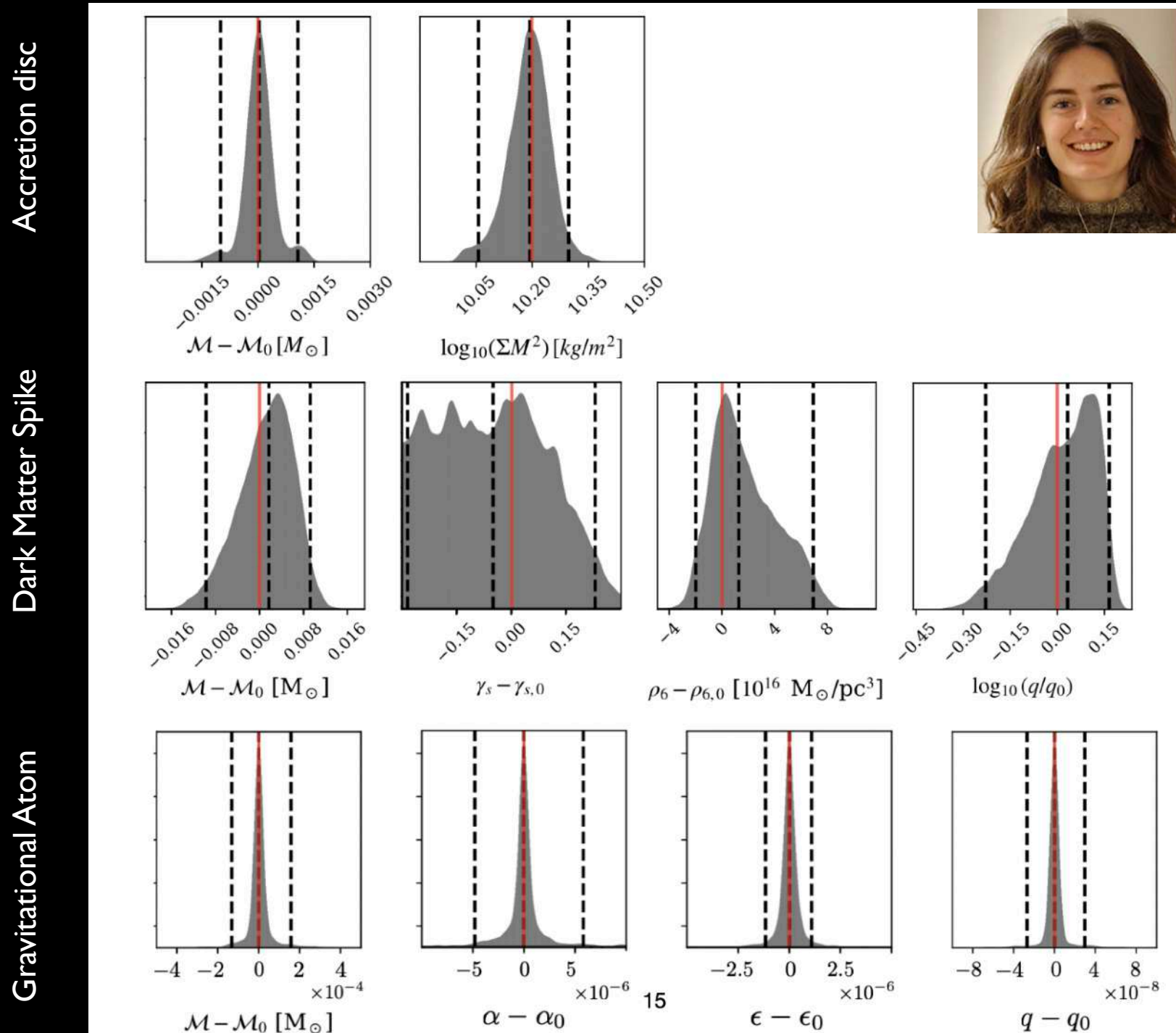
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*Baumann, GB, Stout, Tomaselli 2112.14777 + PRL*

# In case of detection, how well can we reconstruct parameters?



# In case of detection, can we identify the correct environment?

Generate mock Lisa data assuming:

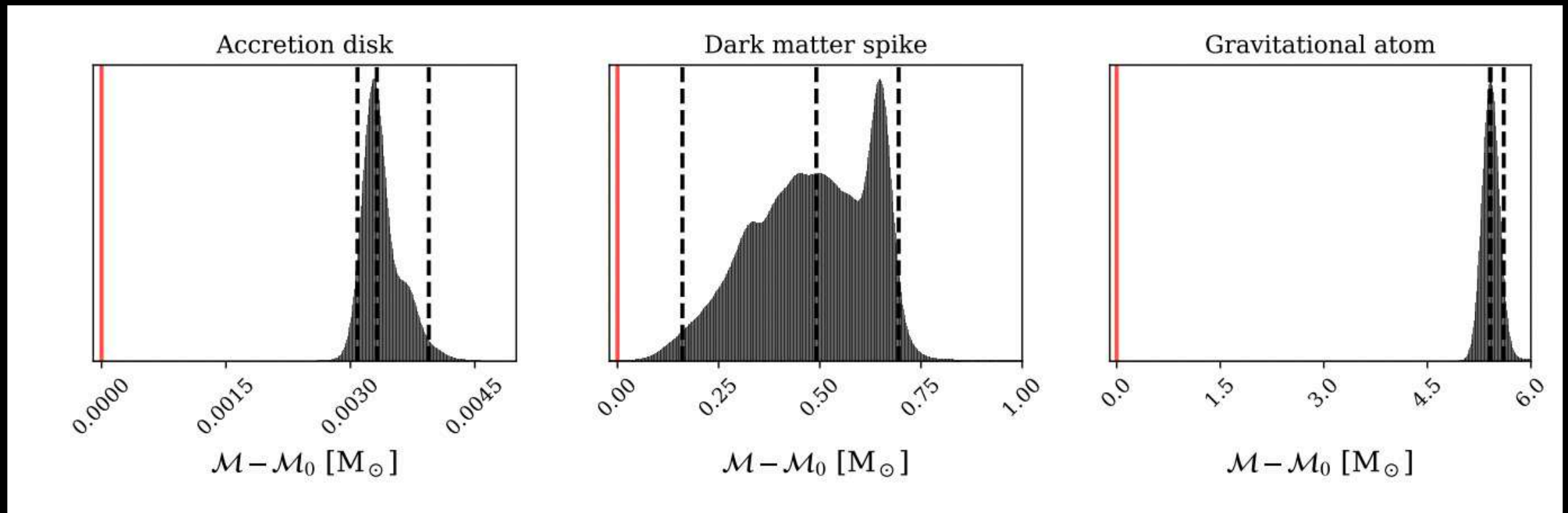
Interpret with:

$\log_{10} \mathcal{B}$	Dark dress signal	Accretion disk signal	Gravitational atom signal
Vacuum template	34	6	39
Dark dress template	-	3	39
Accretion disk template	17	-	33
Gravitational atom template	24	6	-

Bayes factors always very strongly in favour of the correct environment.



# Ignoring environments can strongly bias statistical inference on physical parameters (and possibly lead to catastrophic SNR loss = miss events)



Cole, GB et al. Nature Astronomy 2023

# Work in progress..

- How do we detect 'exotic' waveforms? (Machine Learning..)
- Realistic spike formation scenarios, via formation and collapse of **Supermassive Stars**
- Imprint of DM particle properties on the waveform
- Refined modeling of eccentricity, accretion, torques, etc
- Population studies, Merger rates, etc

# Conclusions

- This is a time of profound transformation for dark matter studies, in view of the absence of evidence (though NOT evidence of absence) of popular candidates
- LHC, ID and DD experiments may still reserve surprises!
- At the same time, it is urgent to:
  - Diversify dark matter searches
  - Exploit astronomical observations
  - Exploit gravitational waves
- The field is completely open: extraordinary opportunity for new generation to come up with new ideas and discoveries

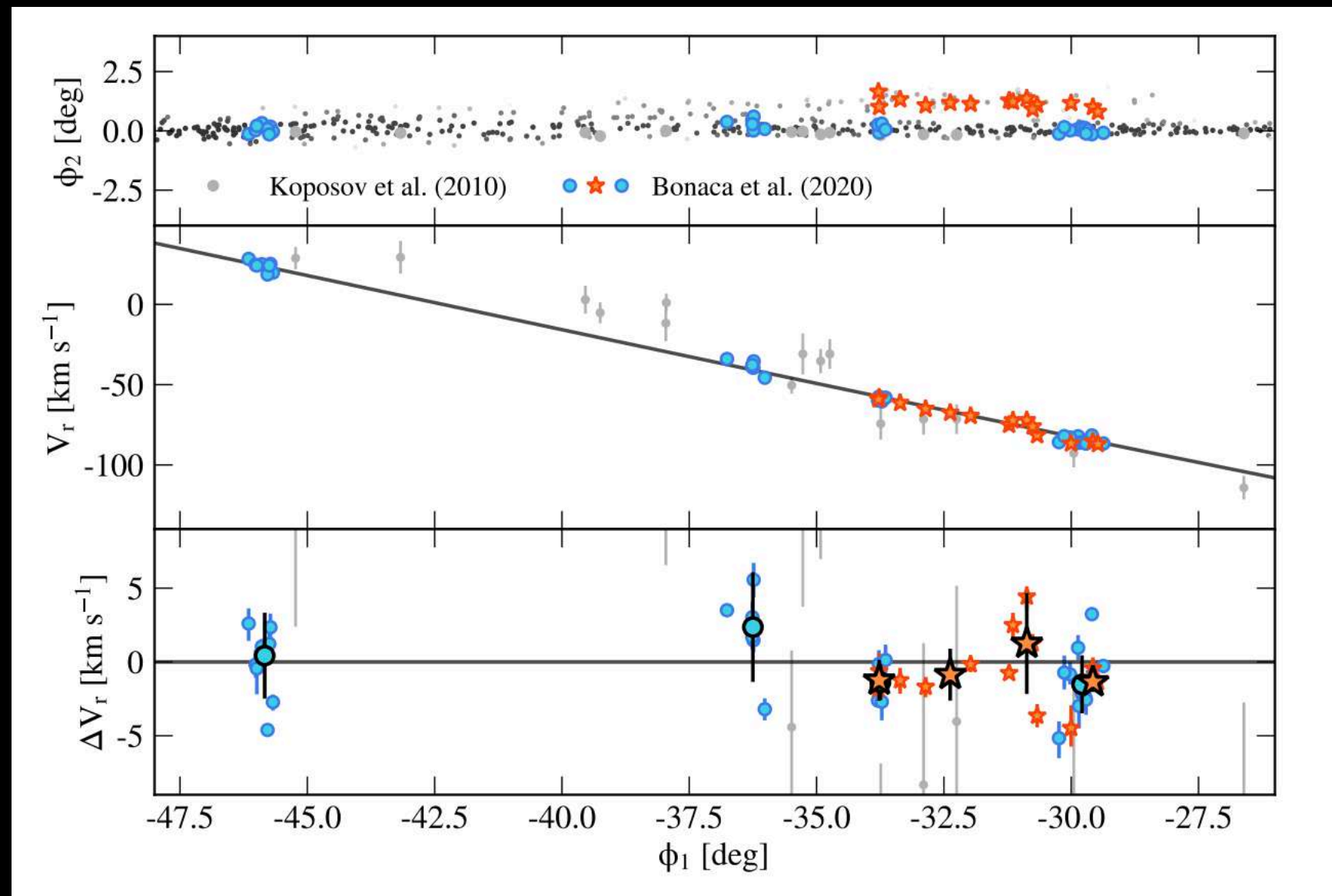


Back up Slides

# Gaia GDI stream data!

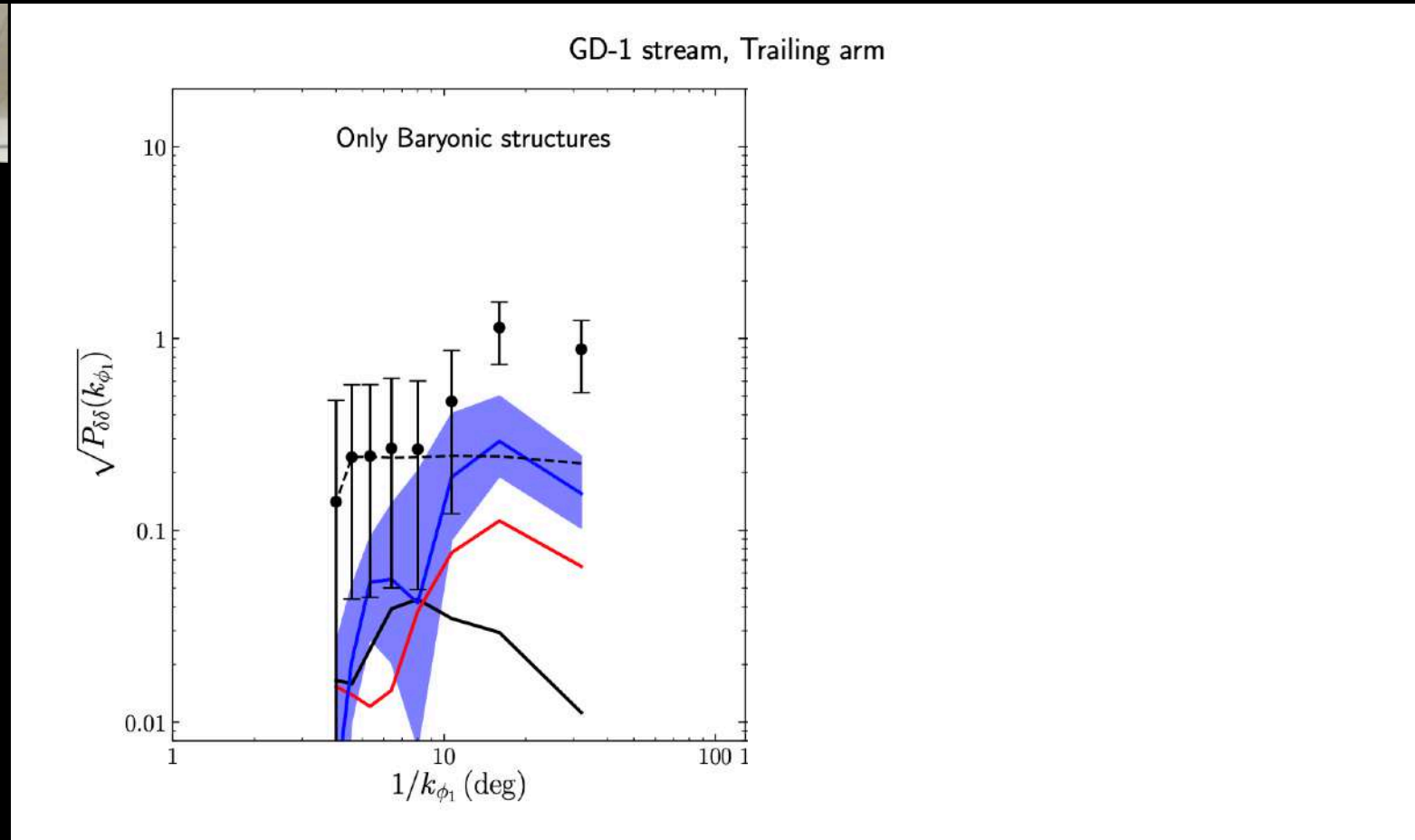
New map of stars in GDI stream (longest cold stream in the MW) with *Gaia* second data release combined with *Pan-STARRS*.

*Stream appears to be perturbed, with several 'gaps' and a 'spur'*



Bonaca et al. 2001.07215

# Statistical analysis of perturbations: Strong hints of dark substructures!

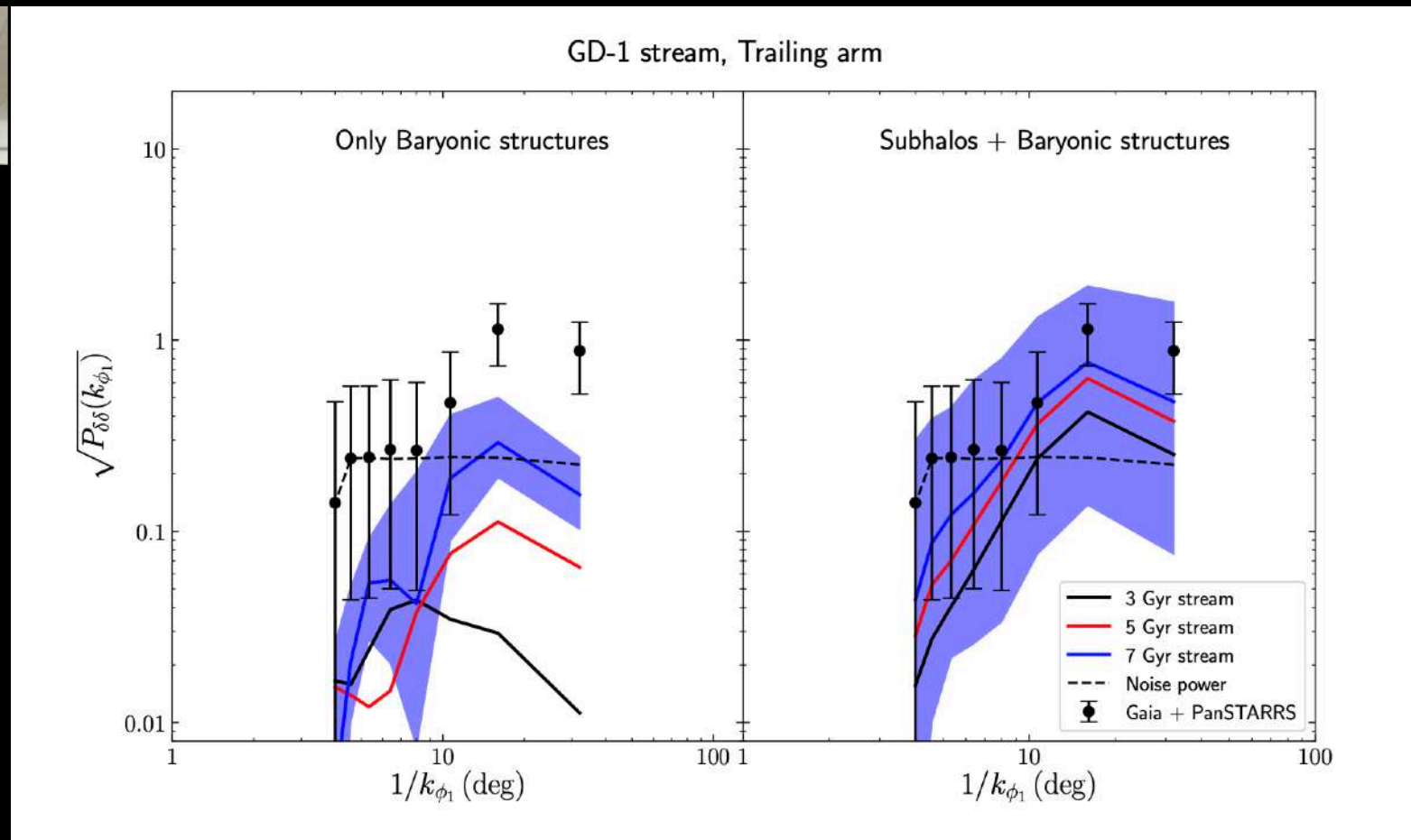


Banik, Bovy, GB, Erkal, de Boer, MNRAS 502, 2364 (2021)

- Gaia GD1 stream data exhibit substantial 'structure'
- Density fluctuations cannot be explained by "baryonic" structures (GC, GMC, spiral arms etc)



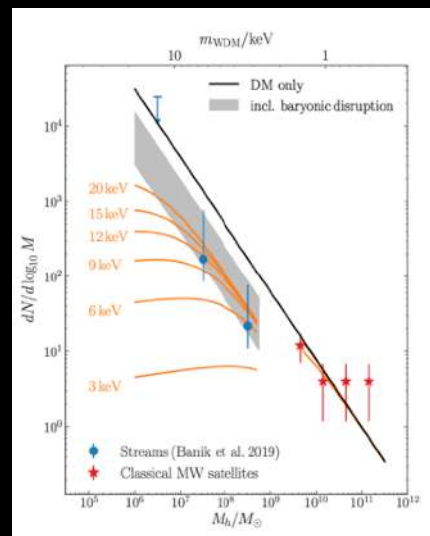
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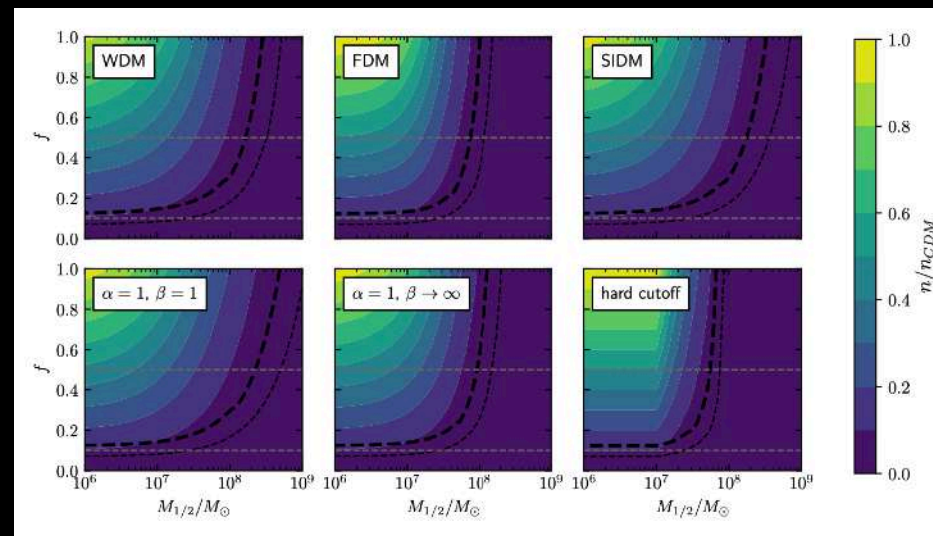
Banik, Bovy, GB, Erkal, de Boer, MNRAS 502, 2364 (2021)

- Gaia GD1 stream data exhibit substantial ‘structure’
- Density fluctuations cannot be explained by “baryonic” structures (GC, GMC, spiral arms etc)
- **Density fluctuations are consistent with CDM predictions (not a fit!)**

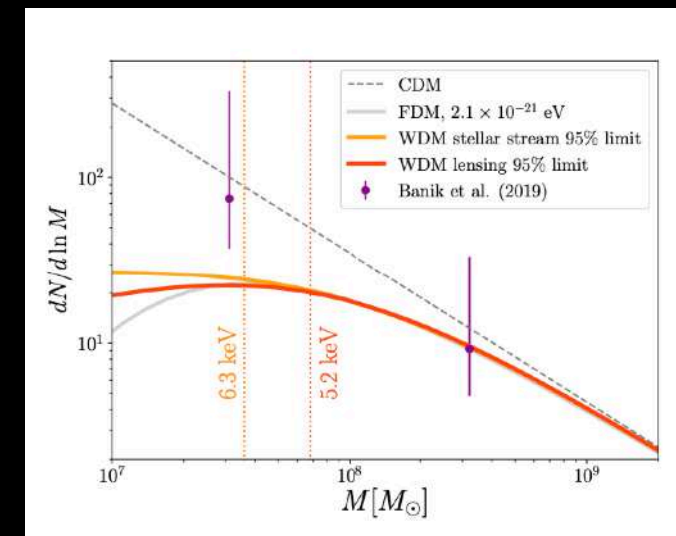
# Statistical analysis of perturbations: Stringent constraints on the nature of DM



1911.02663



2001.11013



2001.05503

Constraints on the particle mass of dark matter candidates such as warm, fuzzy, and self-interacting dark matter.

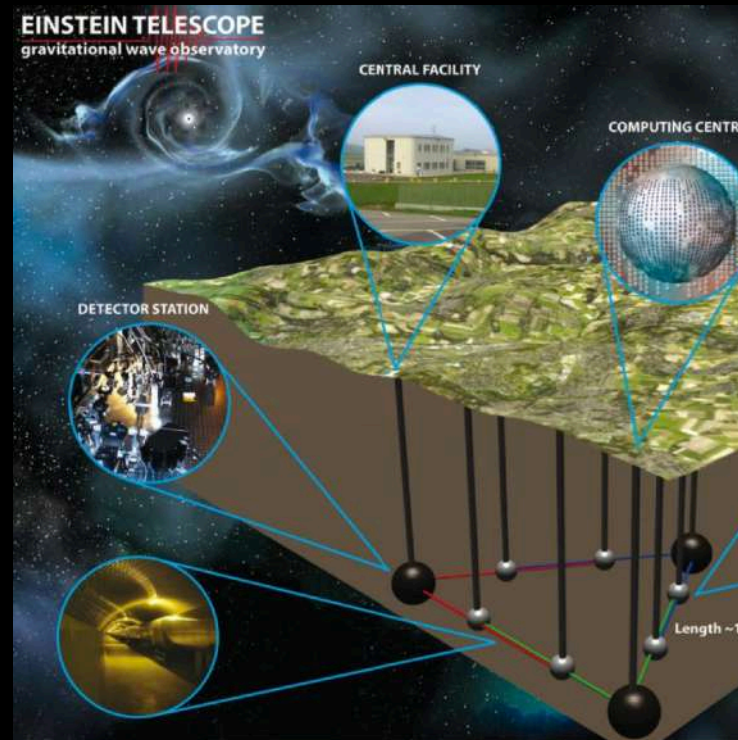
# Can we convincingly discover *primordial* BHs?

Yes, e.g. if we:



I. Detect sub-solar mass BHs with current interferometers

(e.g. 2109.12197)



II. Detect  $O(100)M_{\odot}$  BHs at  $z > 40$  with Einstein Telescope

(e.g. 1708.07380)

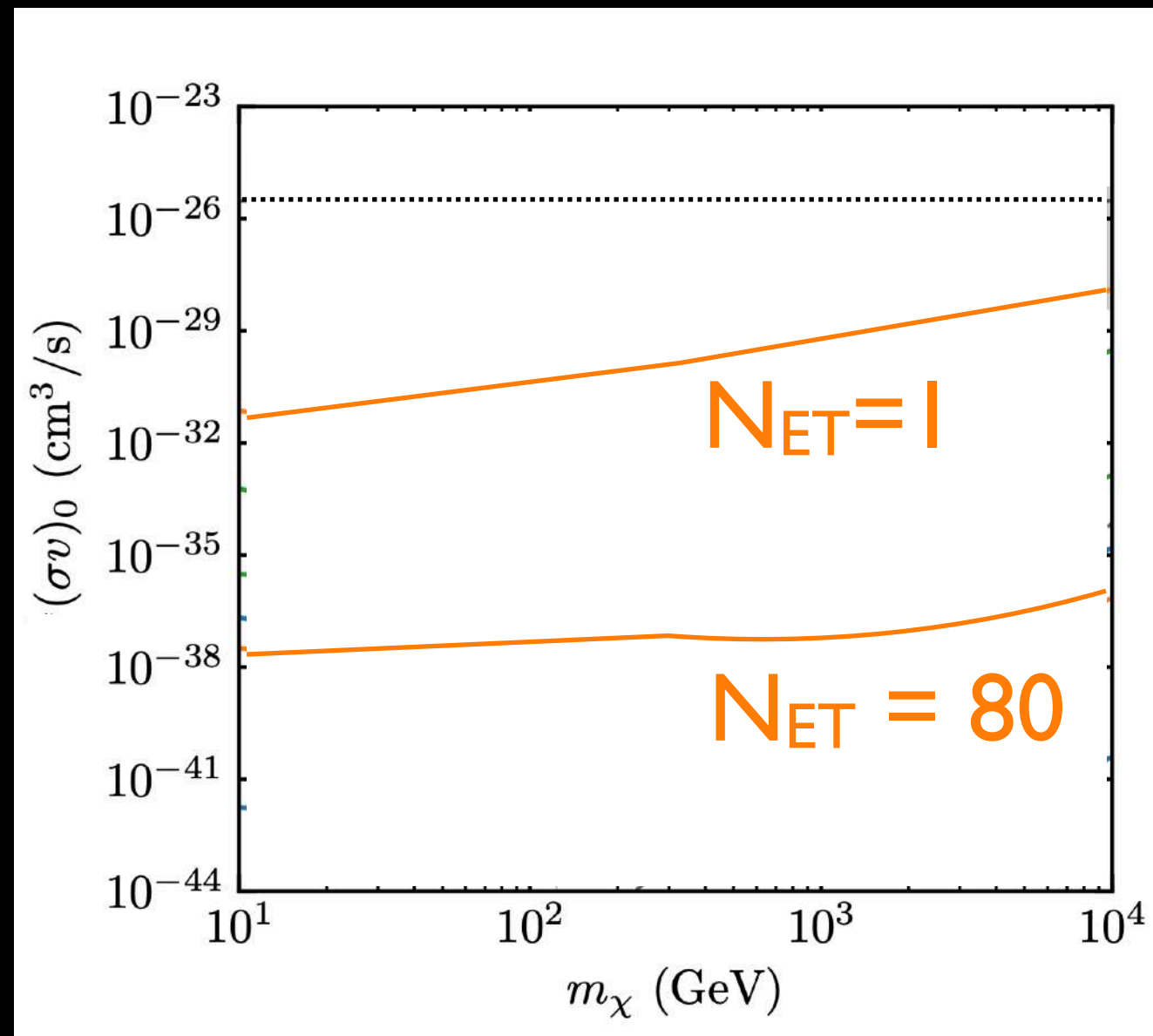


III. Discover 'unique' radio signature with Square Kilometre Array

(e.g. 1810.02680)

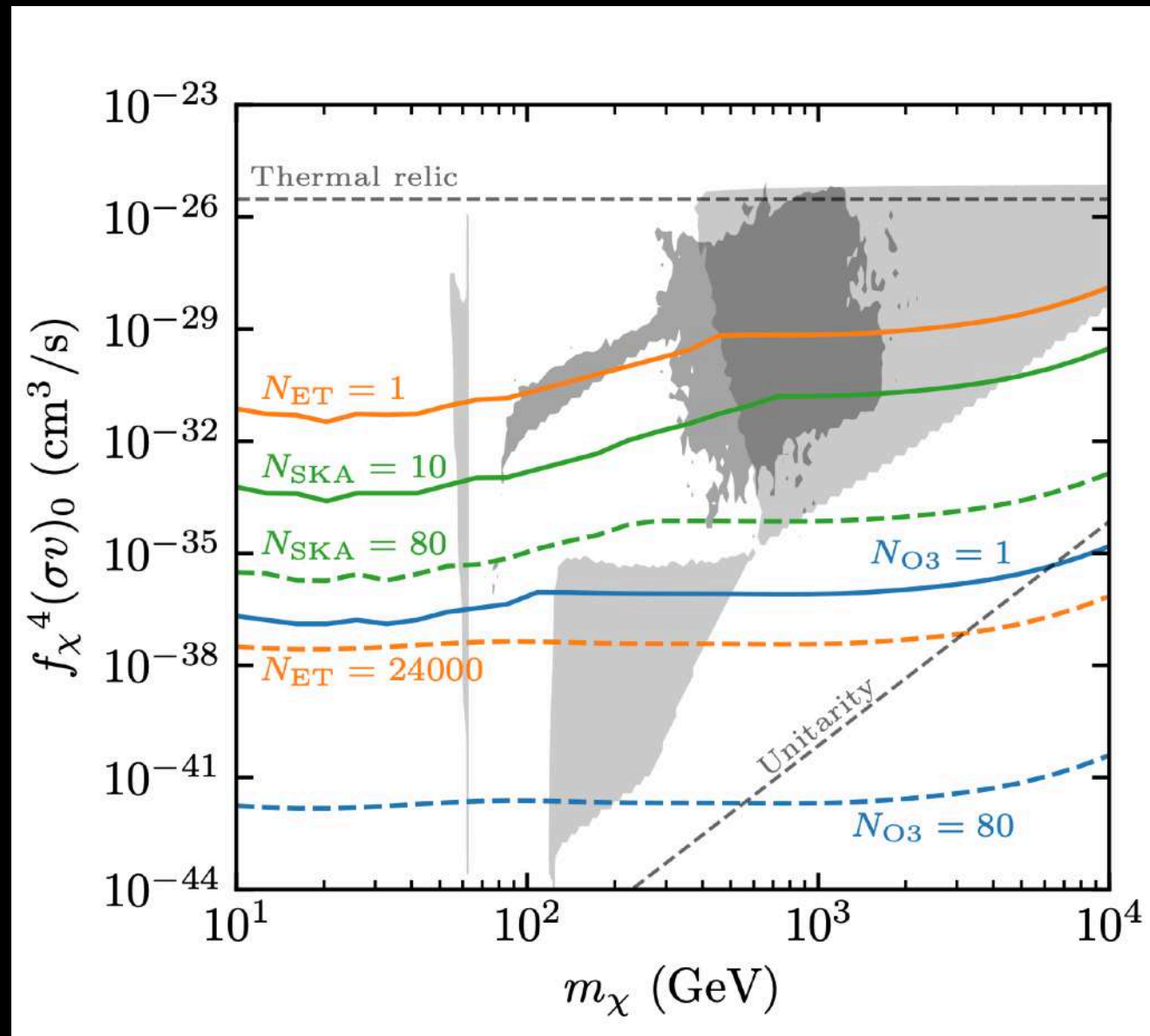


If (subdominant) PBHs discovered: Extraordinarily stringent constraints on new physics at the weak scale!



GB, Coogan, Gaggero, Kavanagh, Weniger 1905.01238

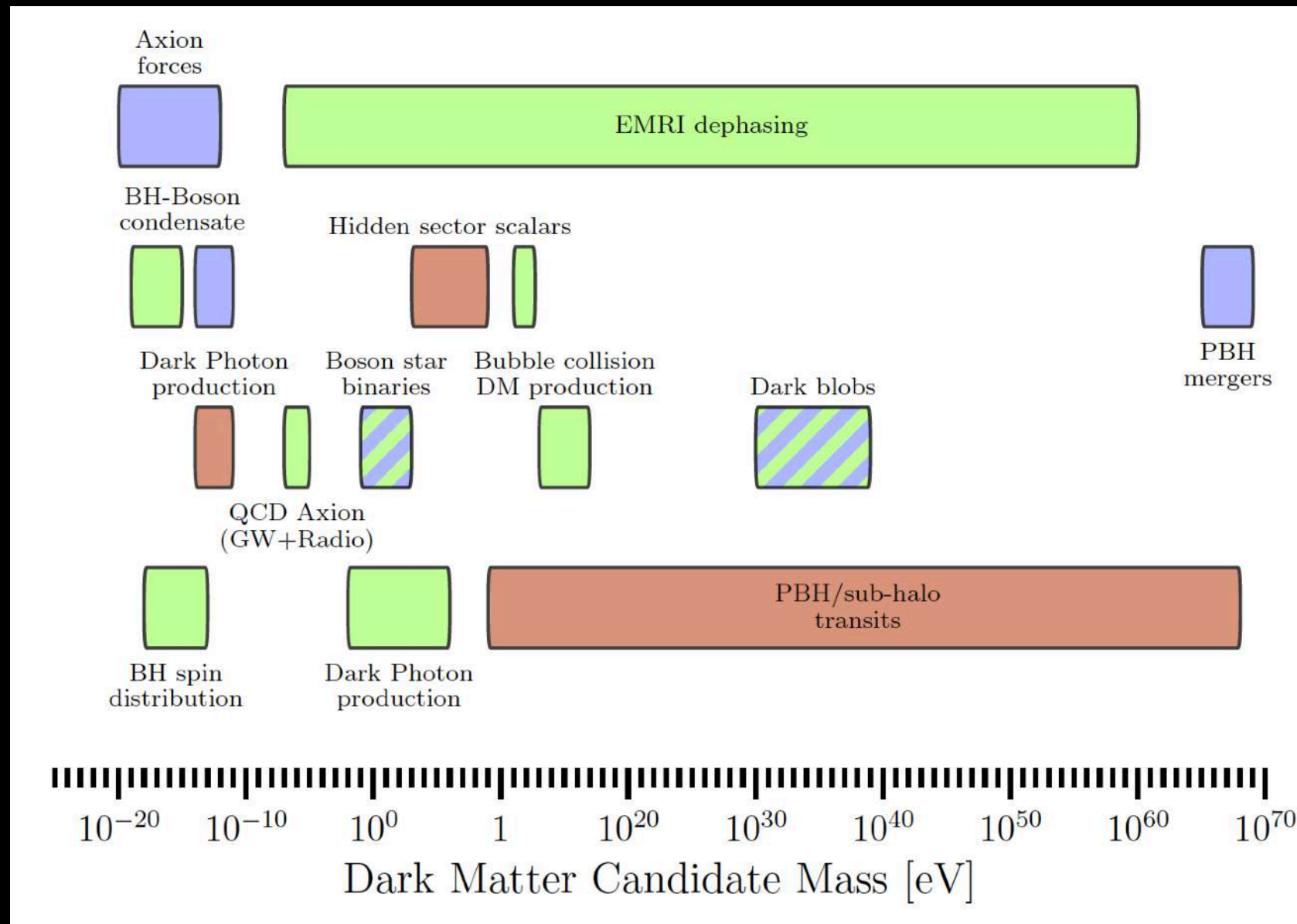
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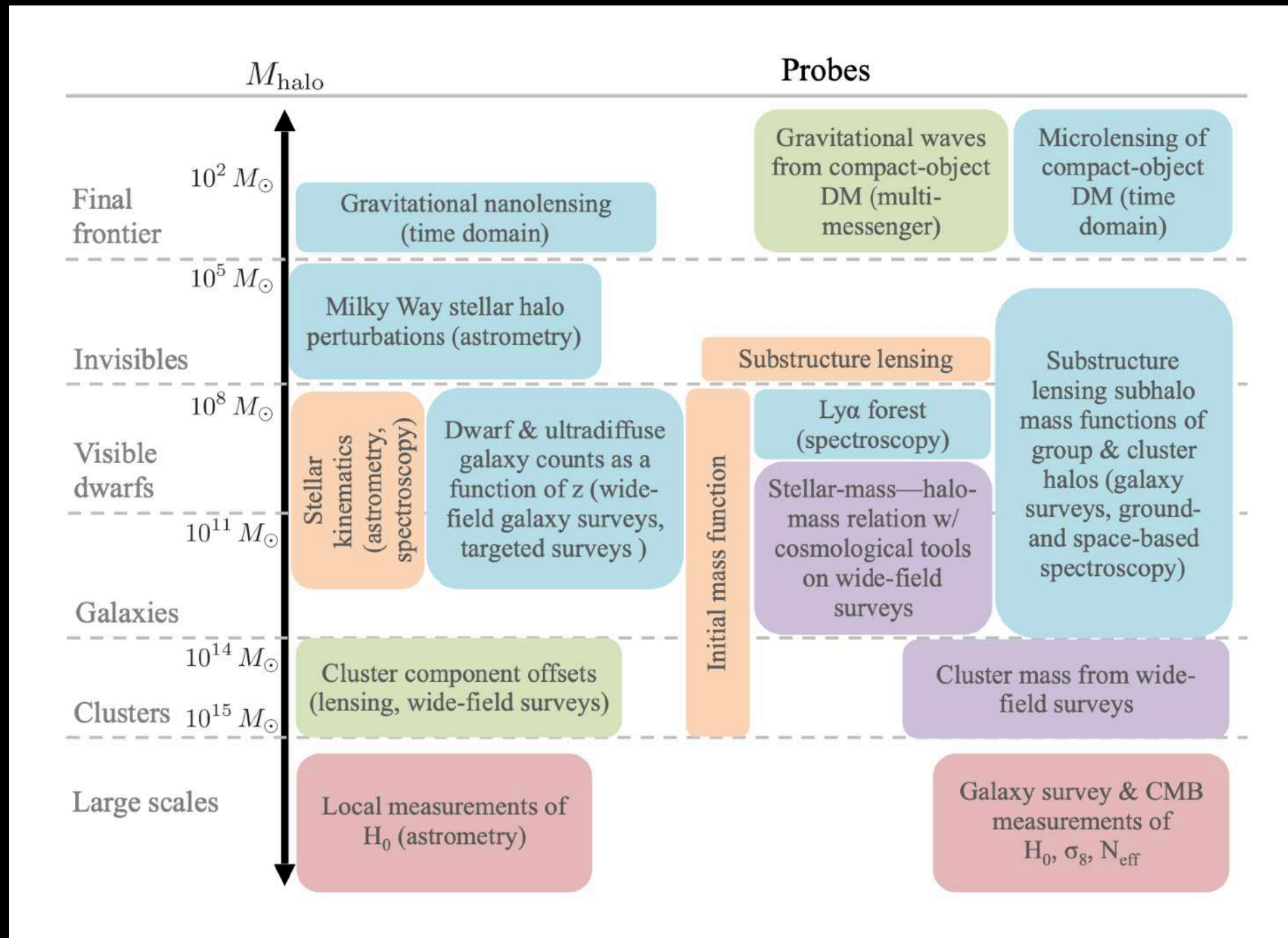
- Detecting a subdominant PBHs with the Einstein Telescope would essentially rule out not only WIMPs, but entire classes of BSM models (even those leading to subdominant DM!)

# Further GW-DM connections:



**“Gravitational wave probes of dark matter: challenges and opportunities”**  
 GB, Croon, et al. 1907.10610

# Gravitational probes of dark matter physics



M. Buckley and A. Peter, *Physics Reports*, 761, 1-60 (2018)